

UCC Filing Form Survey (Responses by State)

Jurisdiction	UCC form in statute?	Original RA9 / Updated IACA?	Version?	Accepts non-statute forms?	UCC form modified? How? Why?
Alabama	Yes; it is the information taken from the national form (we do not have a form name of number in statute).	Original RA9	N/A	Yes; We accept any form that provides the required information. There is an additional \$5 charge for non-standard forms.	No
Alaska	Yes	Original RA9	07/29/1998 (working to replace with 05/22/02)	Only IACA-approved RA9 National forms are accepted.	No
Arkansas	We have adopted the RA9 Standards.	Yes	5/22/2002	Only RA9 National Form	No
California	Yes	Updated RA9	7/29/1998	Only IACA-approved RA9 National forms are accepted.	Yes, boxes 1d & 2d on the UCC 1 and 3 forms have been redacted in order to prevent the inclusion of an SSN.
Colorado	No	N/A	N/A	N/A	Yes; 1) Boxes 1d and 2d on the UCC1 form have been blacked out in order to prevent inclusion of a social security number. 2) Box 7 on the UCC1 form has been blacked out. Colorado requires a filer to submit an "Information Request" (UCC11) to obtain a search report. 3) Box 1b has been added to the UCC3 form to collect the original filing date. This field was added for record identification purposes. 4) Box 1c has been added to the UCC3 form to collect the original (local) filing office. This field was added for record identification purposes. For examples of the modifications please see attached. (2 attachments on Colorado's email)
Connecticut	No	N/A	N/A	No	Yes
Delaware	Yes	Updated RA9	7/29/1998	Yes	No

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Florida	No; provide for the development of UCC forms and also the use of a "standard national form" in Florida	N/A	N/A	Both the RA9 National form and the "Florida UCC version of the National form" are accepted for filing.	The Florida version of the RA9 National form adds specific language about the "documentary stamp tax" that is required in Florida. The RA9 National Form has a space for any additional statutorily required language to be added as needed, but an individual filing in Florida will not know what to add regarding documentary stamp tax unless prompted with the correct language. That is why the "Florida" form was created. As filers learned what language to add to the form they started using the RA9 National form more frequently.
Georgia	We were given the authority to set rules promulgating forms but the specific forms are not in statute.	IACA Approved	07/29/98 or later	Do not accept any other forms besides IACA-approved	No
Illinois	(810 ILCS 5/9-521) Sec. 9-521. Uniform form of written financing statement and amendment. (a) Initial financing statement form. A filing office that accepts written records may not refuse to accept a written initial financing statement in the form and format set forth in the final official text of the 1999 revisions to Article 9 of the Uniform Commercial Code promulgated by the American Law Institute and the National Conference of Commissioners on Uniform State Laws, except for a reason set forth in Section 9-516(b).	Yes	5/22/2002	Yes; as long as it is similar in style and format	No
Indiana	Indiana Code 26-1-9.1-502 describes what the contents of the financing statement needs to contain to be sufficient.	N/A	N/A	Yes	The SSN box is blacked out. Indiana code prohibits an agency from disclosing a SSN. Since the database is available online, Indiana does not require SSN.

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Kansas	Yes	Original RA9	7/29/1998	According to reg, we accept the statutory forms and any prescribed by us. We accept the IACA forms as ones prescribed by us.	Yes; Our form does not allow input of the SSN/FEIN, which is intended to eliminate this sensitive information from our public records.
Louisiana	No	N/A	N/A	N/A	N/A
Maine	Yes	Original RA9	7/29/1998	The original RA9 form is in our statute, 11 MRSA §1521 however we use IACA Model Forms.	Yes, 5/22/2002 IACA Model Forms.
Massachusetts	Yes	Original RA9	7/29/1998	The original RA9 form is in our statute, MGL c.106, 9-521, however we use IACA Model Forms.	Yes, 5/22/2002 IACA Model Forms.
Michigan	Yes	The original RA9 National form, presented as numbered fields instead of an actual image. We are not able to capture images of forms in statute.	7/29/1998	We accept NCCUSL and IACA approved forms.	No

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Minnesota	No; It is the NCCUSL approved form (336.9-521 UNIFORM FORM OF WRITTEN FINANCING STATEMENT AND AMENDMENT. (a) Initial financing statement form. A filing office that accepts written records may not refuse to accept a written initial financing statement in the form and format adopted by the National Conference of Commissioners on Uniform State Laws, except for a reason set forth in section 336.9-516(b). (b) Amendment form. A filing office that accepts written records may not refuse to accept a written amendment of an initial financing statement record in the form and format adopted by the National Conference of Commissioners on Uniform State Laws, except for a reason set forth in section 336.9-516(b).)	N/A	N/A	N/A	No
Mississippi	Yes; the actual forms are not in the statute, but the statute incorporates by reference the forms from the official text to the final 1999 Revision to Article 9.	Yes; see above.	N/A	Yes; all IACA approved forms.	Yes; our state version of the National Form issued December 2001, blacked out the SSN/EIN field.
Montana	By administrative rule	Updated	5/22/2002	Yes; all IACA approved versions of the national form.	No
Nebraska	Yes	RA9	5/22/2002	No	Yes; Please see our filing chart located on our website at: http://www.sos.ne.gov/business/ucc/pdf/filing_office_chart_nebraska_version.pdf
Nevada	No	The forms currently prescribed are the IACA form and the national form.	N/A	Our regulations provide that we only accept a UCC document for filing that is on a form prescribed by the filing officer. However, we will reject filing if customer inputs SSN in fields 1d or 2d.	SSN removed from 1d and 2d (as in IACA approved form.) Our online process does not allow for input of SSNs in these fields. We removed the label and replaced with "See Instructions." The forms we provide on our website for customers to use are the IACA approved forms.

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New Hampshire	Yes	RA9	N/A	Yes; so long as it conforms to the RA9 standards and NH statutes	Yes; NH has removed the section for SSN
New Jersey	Yes/No; the statute has a picture of the National Form dated April 98. However, the statute states that this form will ensure acceptance if completed in its entirety, but does not mandate the use of the form.	The original National Form	April-98	Yes; we accept anything	No
New York	Yes	Updated RA9	5/21/2002	Yes	Box 1d ("see instructions") has been pre-filled with "Not Applicable"
North Carolina	Yes	Original RA9	7/29/1998	Yes, but it must have the same information that is required on the 7/29/98 edition form.	No, but we have the form posted on our website and under section 1d we put note that states "Not required".
North Dakota	No; statute only states that our office will supply a form.	RA9 national form and our state form.	5/22/2002	We accept our state form and the national form as standard. If another form is submitted that contains all the required information it will be accepted as non-standard and charged an extra \$5.00 filing fee.	No; state form was created to allow for filing both a UCC and Central Notice filing (EFS) on the same form at one fee.
Ohio	No, but we still accept the national form	N/A	N/A	N/A	No
Oklahoma	Yes	Original IACA	N/A	We accept any version of the IACA form. We also accept custom designed forms that conform to the statute.	No
Oregon	Yes	Original RA9	N/A	Yes, we accept the original and the latest IACA-approved form as dictated by administrative rule.	Slightly; we blacked out the SSN portions of the form.
Rhode Island	Yes	No	N/A	IACA form, rev 2002	No
South Dakota	Yes	National UCC Form	7/29/1998	We accept the South Dakota Approved Form and the National Form only	N/A

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Tennessee	Yes	Original RA9	7/29/1998	Fortunately, the statute REQUIRES us to accept the statutory form, but does not prohibit us from accepting other forms. By rule (a modification of MAR concerning Document Form Requirements), we require the forms to conform either to the statutory form or "to any other national form requirements adopted after July 1, 2001, by the International Association of Commercial Administrators...."	The forms are not "modified" in the sense that we do not accept the national forms. However, we provide a "TN version" of the UCC1 national form to facilitate the customer's compliance with the additional statutory language noted below. We also provide a "TN version" of the UCC11 national form that does not include an option to obtain info/copies on "unlapsed" statements. In order to be filed in Tennessee, the UCC1 form must include statutory language concerning the maximum principal indebtedness for recording tax purposes. We provide the modified UCC11 form because we adopted an early version of MAR that provides searches only on all statements (no option for just unlapsed statements).
Texas	No; we removed the National Form from statute	N/A	N/A	Although we will accept the National Form, we encourage use of the IACA approved form by having it as the only form available on our website	No
Utah	Not specifically in statute but we only record data submitted on the national form...any information not submitted with the national form will be rejected	N/A	N/A	N/A	No
Vermont	Yes	Original RA9	2001	Yes	No
Virginia	Yes	Original RA9	7/29/1998	Yes; IACA Model Forms	No
Washington	Yes	Original RA9	7/29/1998	Yes; IACA-approved forms	No
West Virginia	No	N/A	N/A	N/A	No
Wisconsin	Yes	Updated RA9. The SSN is not required.	N/A	Yes	No
Wyoming	Yes	Original RA9	N/A	No	No
Arizona					
Hawaii					

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Iowa					
Kentucky					
Maryland					
Missouri					
New Mexico					
Pennsylvania					
South Carolina					