

## 50 STATE SURVEY: UCC 9-521 FORMS

Updated as of 5/8/08

| State                | Forms included in UCC 9-521 | Statutory Notes  | Filing Office Practices Form posted on website |
|----------------------|-----------------------------|--|--|
| Alabama              | Yes                         | '98 version. Trouble displaying actual form in statute so they just display the fields in a text format. | '98  |
| Alaska               | Yes                         | '98 version  | '02  |
| Arizona              | Yes                         | '98 version  | '02  |
| Arkansas             | Yes                         | '98 version  | '02  |
| California           | Yes                         | '98 version  | State form                                     |
| Colorado             | No                          | Forms adopted by Secretary of State.   | State form                                     |
| Connecticut          | No                          | Forms prescribed by Secretary of State.  | State form                                     |
| Delaware             | Yes                         | '98 version  | '02  |
| District of Columbia | Yes                         | '98 version. Form image are imbedded in statute.   | '98  |
| Florida              | No                          | Forms developed and approved by Secretary of State and a standard form.                                  | Unable to check                                |
| Georgia              | Yes                         | Refers to 2000 Official Text. Incorporates 1998 ALI/NCCUSL forms by reference.                           | '98  |
| Hawaii               | Yes                         | '98 version  | Problem with link                              |
| Idaho                | Yes                         | Unable to determine, requires review of original RA9 bill. Form images are imbedded in statute.          | '98  |
| Illinois             | Yes                         | Refers to 1999 Official Text forms. Incorporates 1998 ALI/NCCUSL forms by reference.                     | '02  |
| Indiana              | Yes                         | Retains the 4/23/08 signature transition forms.  | State form                                     |
| Iowa                 | Yes                         | Refers to 1999 Official Text forms. Incorporates 1998 ALI/NCCUSL forms by reference.                     | '02  |
| Kansas               | Yes                         | '02 version. Pending legislation to remove from statute.   | '02  |
| Kentucky             | Yes                         | Refers to 1999 Official Text forms. Incorporates 1998 ALI/NCCUSL forms by reference.                     | '02  |
| Louisiana            | No                          | Forms approved by Secretary of State.  | State form                                     |
| Maine                | Yes                         | 7/29/98 version is cited in statute. Image not displayed.  | '02  |
| Maryland             | Yes                         | Refers to Official Text forms. Incorporates 1998 ALI/NCCUSL forms by reference.                          | '02  |
| Massachusetts        | Yes                         | '98 version  | '02  |
| Michigan             | Yes                         | 7/29/08 version is cited in statute. Image not displayed.  | '02  |
| Minnesota            | Yes                         | Refers to Official Text forms. Incorporates 1998 ALI/NCCUSL forms by reference.                          | '02  |
| Mississippi          | Yes                         | Refers to 1999 Official Text forms. Incorporates 1998 ALI/NCCUSL forms by reference.                     | State form                                     |
| Missouri             | No                          | Forms approved by IACA or promulgated by Secretary of State.   | '02  |
| Montana              | No                          | Forms adopted by the Secretary of State.   | '02  |
| Nebraska             | Yes                         | '98 version  | '02  |
| Nevada               | Yes                         | '98 version  | '02  |
| New Hampshire        | Yes                         | '98 version and other forms authorized by Secretary of State.  | '98  |
| New Jersey           | Yes                         | '98 version  | Problem with link                              |
| New Mexico           | No                          | Forms prescribed by the Secretary of State.  | Problem with link                              |
| New York             | No                          | Forms promulgated by the Department of State.  | '02  |
| North Carolina       | Yes                         | '98 version  | '98  |
| North Dakota         | No                          | Forms established by the Secretary of State.   | State form                                     |

1. Some states do not indicate the version date on their forms.
2. '98 version references "Tax ID #, SSN or EIN" in box 1d.

| State          | Forms included in UCC 9-521 | Statutory Notes   | Filing Office Practices Form posted on website |
|----------------|-----------------------------|---|--|
| Ohio           | Yes                         | Published statute only has fields listed that appear to be the 2002 form version. Requires review of original bill and 2006 amendment to 9-521.   | '02  |
| Oklahoma       | Yes                         | Further investigation required. Statute includes table with form fields, but statute as enacted may contain actual images of the forms.   | Link to IACA ('02)                             |
| Oregon         | Yes                         | Refers to 1999 Official Text. Incorporates 1998 ALI/NCCUSL forms by reference.  | State form? Says National with '05 date        |
| Pennsylvania   | Yes                         | Refers to 1999 Official Text. Incorporates 1998 ALI/NCCUSL forms by reference.  | '02  |
| Rhode Island   | Yes                         | '98 version   | State form                                     |
| South Carolina | Yes                         | A table of the form fields is in the statute, but it is likely that actual copies of the '98 version were contained in the bill as enacted. Further inquiry into the bill is necessary. | State form                                     |
| South Dakota   | Yes                         | '98 version   | State form                                     |
| Tennessee      | Yes                         | '98 version   | '02  |
| Texas          | Yes/No                      | Industry standard form, national form or IACA form adopted by the Secretary of State. Recognizes a safe harbor for the IACA forms.  | '02  |
| Utah           | Yes                         | Refers to 1999 Official Text forms. Incorporates 1998 ALI/NCCUSL forms by reference.  | '02  |
| Vermont        | Yes                         | The form indicates it's the '98 version. However, the 1d. box is whited out. Secretary of State now makes only the 2002 version available online.                                       | '02  |
| Virginia       | Yes                         | '98 version   | '02  |
| Washington     | Yes                         | '98 version   | '02  |
| West Virginia  | Yes                         | '98 version   | '02  |
| Wisconsin      | Yes                         | '98 version   | '98  |
| Wyoming        | Yes                         | A table of the form fields is in the statute, but it is likely that actual copies of the '98 version were contained in the bill as enacted. Further inquiry into the bill is necessary. | '98  |

Total – Yes: 41  
Total – No: 9  
Total – Yes/No: 1

1. Some states do not indicate the version date on their forms.
2. '98 version references "Tax ID #, SSN or EIN" in box 1d.