

Topic: Suffix in Surname Box

Question By: Penney Barker

Jurisdiction: West Virginia

Date: March 21, 2014

Jurisdiction	Question(s)
Alabama	
Alaska	Alaska would index as presented, just as Idaho would.
Arizona	
Arkansas	
California	
Colorado	
Connecticut	
Delaware	
District of Columbia	
Florida	
Georgia	
Hawaii	
Idaho	Idaho would not reject. We enter the information as it has been presented.
Illinois	
Indiana	
Iowa	
Kansas	Kansas will not reject, but will file the document with the suffix as part of the surname, if the customer put it in the surname field. Kansas will file it exactly as the customer sent it in.
Kentucky	Kentucky would not reject for this reason. Our office would index the information exactly as it appears.
Louisiana	Louisiana would not reject but enter the information exactly as presented.
Maine	Maine would not reject. We would record as presented.
Maryland	
Massachusetts	Massachusetts would enter it exactly as it was submitted.
Michigan	Michigan also data enters the information as submitted. The suffix in the surname box is not a rejectable reason under statute.
Minnesota	Same goes for Minnesota, we would not reject for this reason, and would index the information exactly as it appears on the document.

Mississippi	
Missouri	
Montana	Montana would not reject, although we would notify the customer that the suffix is not in the right place so they could amend if they wish.
Nebraska	
Nevada	Nevada is the same as Minnesota and Kentucky.
New Hampshire	
New Jersey	
New Mexico	
New York	NY would not reject. We would index as presented.
North Carolina	NC also enters the data exactly as submitted.
North Dakota	
Ohio	Ohio would not reject but enter the information exactly as presented.
Oklahoma	
Oregon	Oregon is just like everyone else: accept and index as given.
Pennsylvania	Pennsylvania would not reject the filing. It would be part of the last name and the suffix field would be left blank.
Rhode Island	Rhode Island would not reject and index as presented.
South Carolina	
South Dakota	
Tennessee	Tennessee would file the UCC document exactly as it was presented.
Texas	No rejection in Texas. We would enter it as presented. (with one exception, when they enter "see attached" or "see additional page" etc. we enter the attached party information as opposed to actually indexing the words "see attached")
Utah	
Vermont	
Virginia	
Washington	Washington state would not reject for this reason.
West Virginia	
Wisconsin	Wisconsin does the same as Idaho
Wyoming	

Additional Comments:

From Gavin McCosker (Australia): In Australia we are finding this one interesting reading – thank you.

From our perspective (using the below financing statement example) it would be helpful to know if a search vs St. Clair (with or without the Jr) would return registrations against the surname with the reverse permutation (ie without or with the Jr), and, if not, whether the registration would be effective against a third party purchaser who relied on such a search.

I suspect the answer may include the use of 'wildcards' in the search criteria. If this is the case, are there limitations (eg for searching against individual grantors) which are applied in the use of 'wildcards'?

Response from Randy Moes (TX): In Texas, like other jurisdictions the filings would be entered as presented below (ie. Surname = St. Clair, Jr.)

The only way this filing will be found using Standard Search Logic is if the search is done on 'St. Clair, Jr.' (a missing period or comma would not affect the results).

The customer could do a Wildcard Search which may uncover this lien. If they find the lien by doing the Wildcard Search they could then hopefully figure out that they need to file an Amendment and correct the debtor name.

Full Text of Original Email:

Would you, as the filing office reject a filing submitted with the suffix, " Jr" listed in 1b Individuals surname field instead of the Suffix field?