

Topic: Self-Funded or Appropriations?

Question by: Carl Dietz

Jurisdiction: Iowa

Date: December 29, 2020

Jurisdiction	Question(s)	
	<p>Question 1 Are your SOS operations funded through appropriations, self-funding through fees, or a combination of those?</p>	<p>Question 2 If you are wholly or partially self-funded through fees, what percentage of your fees do you get to keep to fund your operations?</p>
Manitoba		
Corporations Canada		
Alabama		
Alaska		
Arizona		
Arkansas		
California		
Colorado		
Connecticut		
Delaware		
District of Columbia		
Florida		
Georgia		
Hawaii		
Idaho		
Illinois		

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Indiana		
Iowa		
Kansas		
Kentucky		
Louisiana		
Maine		
Maryland	<p>Our Charter Department is self-funded by expedited fees. Other types of fees (including the base fee for recording documents and the annual report fee) go to the state's general fund.</p>	<p>The base filing fee for recording most documents is \$100, and if they are expedited the fee is typically \$50. The fee for ordering certified copies and certificates of good standing is \$20 (+\$1 per page for certified copies), and the expedited fee is another \$20. Annually, our Department takes in about \$100M for annual report fees, \$14M for recording documents, and \$8M in expedited fees (which funds our Department's budget).</p>
Massachusetts		
Michigan		

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Minnesota	<p>Both - Our operating budget is appropriated through the state legislature. There is a statute that allows us to collect certain fees. Here is the language from the statute: (a) The secretary of state may offer services to the public that supplement filing and information services already authorized by law. The secretary of state may discontinue the supplemental services at any time. The services must be designed to provide the public with a benefit by improving the manner of providing, or by providing an alternative manner of payment for, existing services provided by the secretary of state. (b) The cost of providing the supplemental services to the public, as determined by the secretary of state, must be recovered from the recipients of the services. The funds collected for the services must be deposited in the Uniform Commercial Code account and are continuously available to the secretary of state for payment of the cost of providing the supplemental services.</p>	<p>Of the funds referenced in the statute, the office retains 100% of those.</p>
Mississippi		
Missouri		
Montana		
Nebraska	<p>In Nebraska, Business Services is currently funded by both a portion of our fees as well as appropriations from general funds (the amount of fees we keep varies (some business filing fees we keep 50% or 33%, UCC filings we keep 100% but pass some to the counties, notary fees vary as well).</p>	<p>Legislation was passed last year and effective 7/1/21 many of our fees have changed and we will be self-funded, no longer receiving appropriations from the general fund, but we will starting keeping 40% of business filing fees.</p>
Nevada	<p>The Nevada Secretary of State's office collects fees which are deposited to the General Fund. Operations are funded through appropriations.</p>	

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New Hampshire	We are funded by a combination of dedicated filing fee revenues, as well as general fund appropriations.	By law, all filing and annual report fees for corporations are directed to the operations of the Corporation Division. LLC and other business-related fees (trade names, trademarks) go the general fund. For UCC, \$10 of each filing, as well as all \$10 UCC-11 certified search fees are directed to the Division for UCC systems and administration. The remainder is split between the general fund and local NH municipalities (based on the mailing address of the first-listed debtor). Overall, about 40% of our operations are paid for by these directed revenue sources.
New Jersey		
New Mexico		
New York		
North Carolina	The North Carolina Secretary of State's Office is funded through appropriations and is permitted to retain a certain percentage of the electronic filing fee to assist in supporting the IT infrastructure.	
North Dakota		
Ohio		
Oklahoma		
Oregon		
Pennsylvania		
Rhode Island		
South Carolina		
South Dakota		
Tennessee		
Texas		

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Utah		
Vermont		
Virginia		
Washington		
West Virginia		
Wisconsin		
Wyoming		

Additional comments:

Full text of email:

Hello,

A couple of questions:

1. Are your SOS operations funded through appropriations, self-funding through fees, or a combination of those?
2. If you are wholly or partially self-funded through fees, what percentage of your fees do you get to keep to fund your operations?

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