

International Association of Commercial Administrators
Jurisdiction Guidelines for the Processing and Reporting of a UCC Search Request Survey
Last Updated 5/8/09

UCC Search Processing Criteria	MARS Section	Internal Procedures	On Line Procedures	Variations/Deviations
1. A UCC Search Request shall contain the following information.				
<p><u>1a. Name searched.</u></p> <p>(i) A search request must set forth the name of the debtor to be searched using designated fields for organization or individual first, middle and last names.</p> <p>(ii) A search request will be processed using the data and designated fields exactly as submitted, including the submission of no data in a given field, without regard to the nature or character of the debtor that is the subject of the search.</p>	501.1	<p>(i) Yes, my office applies this rule as stated. AR, CA, CO, IL, LA, MA, ME, MI, MT, NH, NC, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). KS, MD, ND</p> <p>(ii) Yes, my office applies this rule as stated. AR, CA, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, IL</p>	<p>(i) Yes, my office applies this rule as stated. AR, CA, CO, IL, MA, ME, MI, MT, NH, NC, OR, RI, TX, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). KS, MD, ND.</p> <p>(ii) Yes, my office applies this rule as stated. AR, CA, CO, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). IL, KS</p>	<p>KS: A new in-house standard search will have designated fields next year.</p> <p>MD: Does not perform searches of the UCC filings, only copies.</p> <p>MI: MAR § R440.502(1).</p>

<p>1b. Requesting party. The name and address of the person to whom the search results is to be sent.</p>	501.2	<p>Yes, my office applies this rule as stated. AR, CA, CO, IL, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). TX</p>	<p>Yes, my office applies this rule as stated. AR, CA, KS, MA, MI, MT, NH, ND, RI, TX</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, ME, NC, OR, WA, WI</p>	<p>CO, IL, ME, MD, NC, OR, WI: Requesting party information is not required online.</p> <p>TX: Internal requests are sent to return address on envelope.</p> <p>WA: Requesting party information not required online unless it includes copies.</p>
<p>1c. Fee. The appropriate fee shall be enclosed, payable by a method described in rule 106.</p>	501.3	<p>Yes, my office applies this rule as stated. CA, IL, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). AR, CO, TX</p>	<p>Yes, my office applies this rule as stated. AR, CA, MA, ME, MI, KS, MT, NH, ND, RI, WA,</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, NC, OR, TX, WI</p>	<p>AR, CO, TN, TX: Fees can be invoiced or billed.</p> <p>CO, NC, OR, WI: Online searches are free of charge.</p> <p>MD: Not applicable.</p>
<p>1d. Search logic. The request shall specify if a search methodology other than that described in rule 503.1 is to be applied in conducting the search. If no such methodology is specified, the one described in rule 503.1 shall be applied.</p>	501.4	<p>Yes, my office applies this rule as stated. CA, CO, IL, MA, ME, MI, MT, NH, NC, ND, OH, RI, TX WA,</p> <p>No, my office does not apply this rule as stated. (Explanation provided). AR, LA, KS, OR, TN, WI</p>	<p>Yes, my office applies this rule as stated. CA, CO, IL, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX WA,</p> <p>No, my office does not apply this rule as stated. (Explanation provided). AR, KS, WI</p>	<p>AR: Uses RA9 logic.</p> <p>CA: Only applies SSL to search reports and certifications.</p> <p>KS: Search logic varies from SSL.</p> <p>MD, MI: Not applicable.</p> <p>LA, TN: Only Rule 503.1 is applied.</p>

2. Optional information. A UCC search request may contain any of the following information.				
		Internal Procedures	On Line Procedures	Variations/Deviations
<p><u>2a. Copies.</u> The request may limit the <u>copies</u> of UCC records that would normally be provided with a search report by requesting that no copies be provided or that copies be limited to those UCC records that: include a particular debtor address; include a particular city in the debtor address; were filed on a particular date or within a particular range of dates; or include a particular secured party name.</p>	502.1	<p>Limiting Copies is an available service. CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OH, RI, TN, TX</p> <p>Not Available: AR, LA, OR, WA, WI.</p>	<p>Limiting copies is an available service. AR, CA, KS, CO, MA, ME, MI, MT, NH, NC, RI, TX, WI</p> <p>Not Available ND, WA,</p>	<p>CO, MA, ME, WA: Cannot limit by secured party name.</p> <p>TX: Cannot limit by date range.</p> <p>MI: MAR § 440.508(1).</p> <p>NC: Internal limits by address, city and date range. Online limits by address and city only.</p> <p>OR: Not applicable for online searches.</p> <p>WI: Copies cannot be limited internally.</p> <p>MD: Not applicable.</p>
<p><u>2b. Scope of search.</u> A search request may ask for a search that reports all Active Records retrieved by the search rather than only Unlapsed Records retrieved by the search.</p>	502.2	<p>Requesting all Active Records is an available service. AR, CA, LA, MA, ME, MI, MT, NH, ND, OH, OR, RI, TX, WA, WI</p> <p>Not Available CO, KS, NC TN,</p>	<p>Requesting all Active Records is an available service. CA, MA, ME, MI, MT, NH, ND, OR, RI, TX, WA, WI</p> <p>Not Available AR, CO, KS, NC</p>	<p>KS: Active (5 yr.) or Active plus lapsed (6 yr.) searches.</p> <p>MA, NC: Unlapsed or All Records searches only.</p> <p>TX: "All Active" filing searches only.</p> <p>CA, WA: Unlapsed filings searches only.</p> <p>MD: Not applicable.</p>

<p><u>2c. Mode of Delivery.</u> A search request may specify a mode of delivery for search results and that request will be honored if the requested mode is made available by the filing office, and all requisite fees are tendered.</p>	502.3	<p>Requesting a specific mode of delivery is an available service. AR, CA, CO, KS, MA, ME, MI, MT, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>Not Available: LA, NH,</p>	<p>Requesting a specific mode of delivery is an available service. CA, CO, MA, MT, RI, TX WA,</p> <p>Not Available: AR, KS, ME, MI, NH, ND, OR, WI</p>	<p>CO, KS, ME, MI, OR, TX: Online requests provide online downloadable results.</p> <p>LA: Delivery to customer is not addressed in LA's MARS.</p> <p>ND: Online requests provide facsimile results.</p> <p>MD: Not applicable.</p>
<p><u>2d. Search request with filing.</u> If a filer requests a search at the time an initial financing statement is filed by [checking the appropriate box or populating the appropriate field in or on the initial financing statement or by] submitting a search request with the initial financing statement at the time it is tendered for filing, the search request shall be deemed to request a search to be conducted as soon as practicable such that it would retrieve all relevant UCC records filed on or prior to the date [time] the initial financing statement is filed.</p>	502.4	<p>Requesting a search at the time of filing is an available service. AR, CA, CO, KS, LA, MA, ME, MI, MT, NC, ND, OH, TN, TX, WA,</p> <p>Not Available NH, OR, RI, WI</p>	<p>Requesting a search at the time of filing is an available service. CA, MA, MI, MT, TX, WA,</p> <p>Not Available AR, CO, KS, ME, NH, NC, ND, RI, WI</p>	<p>CO, KS, MA, ME, ND, RI: Search requests are processed separate from filing.</p> <p>OR: Not applicable for online searches.</p> <p>TN: Search results do not include current filing.</p> <p>MD: Not applicable.</p>

3. Search logic. The following rules describe the filing office's standard search logic and apply to all searches.				
		Internal Procedures	On Line Procedures	Variations/Deviations
<u>3a. Limitations on Number of Matches.</u> There is no limit to the number of matches that may be returned in response to the search criteria.	503.1.1	<p>Yes, my office applies this rule as stated. AR, CA, CO, KS, LA, MA, MD, ME, MI, MT, NH, NC, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). ND</p>	<p>Yes, my office applies this rule as stated. AR, CA, CO, MA, MD, ME, MI, MT, NH, NC, OR, RI, TX, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). KS, ND</p>	<p>CO, ND: Search results subject to excessive data limitations.</p> <p>KS: Limits apply to online procedures.</p>
<u>3b. Upper and Lower Case.</u> No distinction is made between upper and lower case letters.	503.1.2	<p>Yes, my office applies this rule as stated. AR, CO, KS, LA, MA, MD, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not apply this rule as stated (Explanation provided).</p>	<p>Yes, my office applies this rule as stated. AR, CA, CO, KS, MA, MD, ME, MI, MT, NH, NC, ND, OH, OR, RI, TX, WA, WI</p> <p>No, my office does not apply this rule as stated (Explanation provided).</p>	<p>MI: MAR § 440.510(1)(b)</p>

<p><u>3c. The "&" (the ampersand).</u> The character "&" (the ampersand) is deleted and replaced with the characters "and" each place it appears in the name.</p>	<p>503.1.3</p>	<p>Yes, my office applies this rule as stated. AR, CA, MA, ME, MI, MT, NH, NC, OR, TX, WA,</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, LA, KS, MD, ND, OH, RI, TN, WI</p>	<p>Yes, my office applies this rule as stated. AR, CA, MA, ME, MI, MT, NH, NC, OR, TX, WA,</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, KS, MD, ND, OH, RI, WI</p>	<p>KS, MA, MI, RI: "And" and "&" are equated with each other. CO: "&" is replaced with a space. LA: MARS do not include this rule. MD: Does not replace the "&". TN: Symbols are not deleted. WI: Debtor names are searched exactly as submitted. ND: Searches return both forms unless organization name includes "and," then searches exactly as submitted.</p>
<p><u>3d. Punctuation.</u> Punctuation marks and accents are disregarded. For the purposes of this rule, punctuation and accents include all characters other than the numerals 0 through 9 and the letters A through Z (in any case) of the English alphabet.</p>	<p>503.1.4</p>	<p>Yes, my office applies this rule as stated. AR, CA, CO, KS, MA, MD, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA,</p> <p>No, my office does not apply this rule as stated. (Explanation provided). LA, , WI</p>	<p>Yes, my office applies this rule as stated. AR, CA, CO, KS, MA, MD, ME, MI, MT, NH, NC, ND, OH, OR, RI, TX, WA,</p> <p>No, my office does not apply this rule as stated. (Explanation provided). WI</p>	

<p><u>3e. Ending Noise Words.</u> The following words and abbreviations at the end of an organization name that indicate the existence or nature of the organization are “disregarded” to the extent practicable as determined by the filing office’s programming of its UCC information management system: (“Ending Noise Words”) *</p> <p><i>* Please identify whether your office does or does not publish a list of ending noise words.</i></p>	503.1.5	<p>Yes, my office publishes an ending noise word list as stated. CO, KS, LA, MA, ME, MI, MT, NC, OH, OR, RI, TN, TX, WA,</p> <p>No, my office does not publish an ending noise word list as stated. (Explanation provided). AR, CA, MD, NH, ND, WI</p>	<p>Yes, my office publishes an ending noise word list as stated. KS, ME, MA, MI, MT, NC, OH, OR, RI, TX, WA,</p> <p>No, my office does not publish an ending noise word list as stated. (Explanation provided). AR, CA, CO, MD, NH, ND, WI</p>	<p>CO, WI: Noise words are not disregarded. KS: The online search doesn’t apply SSL. MA, ME, MI: Published as part of administrative rules. WA: The list is published, but not in administrative rules.</p>
<p><u>3f. The treatment of "The".</u> The word “the” at the beginning of an organization debtor name is disregarded.</p>	503.1.6	<p>Yes, my office applies this rule as stated. AR, CA, CO, KS, LA, MA, MD, ME, MI, MT, NH, ND, OH, OR, RI, TN, TX, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). WI</p>	<p>Yes, my office applies this rule as stated. AR, CA, KS, MA, MD, ME, MI, MT, NH, ND, OH, OR, RI, TX, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, WI</p>	<p>CO, WI: “The” is not disregarded.</p>
<p><u>3g. Spaces.</u> All spaces are disregarded.</p>	503.1.7	<p>Yes, my office applies this rule as stated. AR, CA, CO, KS, LA, MA, MD, ME, MI, MT, NH, NC, OH, OR, RI, TN, TX, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). ND, WI</p>	<p>Yes, my office applies this rule as stated. AR, CA, CO, KS, MA, MD, ME, MI, MT, NH, NC, OH, OR, RI, TX, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). ND, WI</p>	<p>ND: Individual names must include spaces to separate fields; otherwise "DoeJohn" is last name only.</p>

<p><u>3h. Individual Names.</u> For first and middle names of individual debtor names, initials are treated as the logical equivalent of all names that begin with such initials, and first name and no middle name or initial is equated with all middle names and initials.</p> <p>For example, a search request for “John A. Smith” would cause the search to retrieve all filings against all individual debtors with “John” or the initial “J” as the first name, “Smith” as the last name, and with the initial “A” or any name beginning with “A” in the middle name field. If the search request were for “John Smith” (first and last names with no designation in the middle name field), the search would retrieve all filings against individual debtors with “John” or the initial J as the first name, “Smith” as the last name and with any name or initial or no name or initial in the middle name field.</p>	503.1.8	<p>Yes, my office applies this rule as stated. AR, CA, LA, MA, ME, MI, MT, NH, NC, OH, OR, RI, TN, TX, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, KS, MD, ND, WI</p>	<p>Yes, my office applies this rule as stated. AR, CA, MA, ME, MI, MT, NH, NC, OH, OR, RI, TX, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, KS, MD, ND, WI</p>	<p>CO, MA, MD, ND: A search of first name “John” will not include all “J” initial first names.</p> <p>KS: Middle name initials are equated with all names that begin with that initial, and the absence of a middle name will return all results.</p>
<p><u>3i. Individual Names.</u> If the name being searched is the last name of an individual debtor name without any first or middle name provided, the search will retrieve from the UCC information management system all financing statements with individual debtor names that consist of only the last name.</p>	503.1.9	<p>Yes, my office applies this rule as stated. AR, CA, CO, MA, MD, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). KS, LA, TX, WI</p>	<p>Yes, my office applies this rule as stated. AR, CA, CO, MA, MD, ME, MI, MT, NH, NC, ND, OH, OR, RI, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). KS, TX, WI</p>	<p>CO: Search all active records. KS: Returns matching last name where the first and middle name fields are blank. LA: Not addressed in LA's MARS. MI: No rule. NC: Search either “All” or “Unlapsed” plus one year. TN: Searches unlapsed records. MA, TX, WI: Returns matching last names with all first and middle name variations.</p>

<p>3j. Individual Names. After using the preceding rules to modify the name being searched, the search will retrieve from the UCC information management system all Unlapsed Records, or, if requested by the searcher, all Active Records, that pertain to financing statements with debtor names that, after being modified as provided in this rule 503, exactly match the modified name being searched.</p>	503.1.10	<p>Yes, my office applies this rule as stated. AR, CA, KS, LA, MA, MD, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, TX, WI</p>	<p>Yes, my office applies this rule as stated. AR, CA, MA, MD, ME, MI, MT, NH, NC, ND, OH, OR, RI, WA</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, KS, TX, WI</p>	<p>CO: Search also includes any ending wildcards.</p> <p>KS: Online search provides more than just exact match.</p> <p>NC: Search either "All" or "Unlapsed" plus one year.</p> <p>TN: Provide all unlapsed records.</p> <p>TX: Includes all first name equivalents.</p>
<p>3k. Notice. Changes in standard search logic. If the filing office changes its standard search logic or the implementation of its standard search logic in a manner that could alter search results the filing office will provide public notice of such change.</p>	504	<p>Yes, my office applies this rule as stated. AR, CA, KS, MA, MD, ME, MI, MT, NH, NC, ND, TX, OH, OR, RI, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO, LA,</p>	<p>Yes, my office applies this rule as stated. AR, CA, KS, MA, MD, ME, MI, MT, NH, NC, ND, TX, OH, OR, RI, WA, WI</p> <p>No, my office does not apply this rule as stated. (Explanation provided). CO,</p>	<p>CA: To date, we have no cause to do this.</p> <p>LA: Not addressed in MARS.</p> <p>MI: No rule.</p>

4. Search responses. Reports created in response to a search request shall include the following.				
		Internal Procedures	On Line Procedures	Variations/Deviations
<p><u>4a. Copies.</u></p> <p>(i) Copies of all UCC records retrieved by the search unless only limited copies are requested by the searcher.</p> <p>(ii) Copies will reflect any redaction of personal information required by law.</p>	505.1	<p>(i) Yes, my office provides the search results according to the expectations of the searcher. AR, CA, CO, IL, KS, LA, MA, MD, ME, MI, MT, NH, NC, ND, OH, RI, TN, TX, WA, WI</p> <p>No, my office does not narrow the search. (Explanation provided). OR,</p> <p>(ii) Yes, my office currently redacts as provided by statute. CA, CO, KS, MA, MI, NC, ND, OR, RI, TX, WA</p> <p>No, we do not redact. (Explanation provided). AR, LA, ME, MD, MT, TN, WI</p>	<p>(i) Yes, my office provides the search results according to the expectations of the searcher. CA, CO, KS, MA, ME, MI, MT, NC, OH, RI, TX, WA WI</p> <p>No, my office does not narrow the search (Explanation provided) AR, ND, OR,</p> <p>(ii) Yes, my office currently redacts as provided by statute. CA, CO, KS, MA, MI, NH, NC, ND, OR, RI, TX, WA</p> <p>No, we do not redact. (Explanation provided). AR, ME, MD, MT, WI</p>	<p>For 4a(ii):</p> <p>AR, LA, ME, ND: No redaction procedure in place.</p> <p>CA, CO: Redaction performed in accordance with law.</p> <p>KS, MI, WA: Redaction performed in accordance with administrative rule or policy.</p> <p>MD: Not applicable.</p>

<p>4b. Filing office identification. Identification of the filing officer responsible for the search response.</p>	<p>505.2.1</p>	<p>Yes, my office provides an identification. CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WI</p> <p>No, my office does not provide identification. (Explanation provided). AR, MD, WA</p>	<p>Yes, my office provides an identification. CA, CO, MA, ME, MI, MT, NH, RI, TX, WI</p> <p>No, my office does not provide identification. (Explanation provided). KS, MD, NC, ND, WA</p>	<p>KS, NC, OR: Online searches are performed by the searcher. TN: Identifies of filing office only. WA: All search results are automated and certified by the agency director. MD: We do not perform searches. MI: No rule.</p>
<p>4c. Unique search report identification number. Unique number which identifies the search report.*</p> <p><i>*Please identify whether your office provides a unique number, regardless of whether the number may retrieve a record of the search report.</i></p>	<p>505.2.2</p>	<p>Yes, my office provides a unique number. AR, CA, KS, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not provide a unique number. (Explanation provided). CO, LA,</p>	<p>Yes, my office provides a unique number. CA, MA, MI, MT, NH, ND, RI, TX, WA</p> <p>No, my office does not provide a unique number. (Explanation provided). CO, KS, ME, NC, WI</p>	<p>CO, LA, MD, MI: Not applicable.</p> <p>ME, NC, OR: Online searches are prepared by the customer and are not tracked internally by the office.</p>
<p>4d. Report date and time. The date and time the report was generated.</p>	<p>505.2.3</p>	<p>Yes, my office reports date and time. AR, CA, KS, LA, MA, ME, MT, NH, NC, ND, OR, RI, TN, WI, WA</p> <p>No, my office does not report date and time. (Explanation provided). CO, MI, OH, TX</p>	<p>Yes, my office reports date and time. CA, KS, MA, ME, MT, NH, ND, OR, RI, WA</p> <p>No, my office does not report date and time. (Explanation provided). CO, MI, NC, TX, WI</p>	<p>CO, MI (MAR § R440.507(1)(b), OH, TX, WA: The date only, not the time, is indicated.</p> <p>NC: Online searches are not tracked internally.</p> <p>MD: Not applicable.</p>

<p>4e. Through date and time. The date and time at or prior to which a UCC record must have been filed with the filing office in order for it to be reflected on the search.</p>	<p>505.2.4</p>	<p>Yes, my office reports through date and time. AR, CA, KS, LA, MA, ME, MI, MT, NH, NC, OR, RI, TN, TX, WI, WA</p> <p>No, my office does not report through date and time. (Explanation provided). CO, OH</p>	<p>Yes, my office reports through date and time. CA, KS, MA, ME, MI, MT, NH, NC, OR, RI, TX, WI, WA</p> <p>No, my office does not report through date and time. (Explanation provided). CO</p>	<p>CO, OR, WA: Through date only, not time, is indicated.</p> <p>MD, ND: Not applicable.</p>
<p>4f. Certification language. [insert template language] *</p> <p><i>* Please identify whether your office does or does not provide certification language on a search report. A copy of your jurisdiction's language is not necessary.</i></p>	<p>505.2.5</p>	<p>Yes, my office states certification language. AR, CA, CO, KS, MA, ME, MI, MT, NC, ND, OH, OR, RI, TN, TX, WI, WA</p> <p>No, my office does not state certification language. (Explanation provided). NH,</p>	<p>Yes, my office states certification language. CA, CO, MA, ME, MI, MT, ND, RI, TX, WA</p> <p>No, my office does not state certification language. (Explanation provided). KS, NH, NC, WI</p>	<p>CA, KS, NC, OR: Online search and copy orders are not certified.</p> <p>MD Not applicable.</p>

<p><u>4g. Scope of search.</u> [Unlapsed/All]</p> <p><i>* Please identify whether your office does or does not indicate the scope of the search on a search report.</i></p>	<p>505.2.6</p>	<p>Yes, my office indicates the scope of the search. AR, CA, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, WA, WI</p> <p>No, my office does not indicate the scope of the search. (Explanation provided). CO, KS, TN, TX</p>	<p>Yes, my office indicates the scope of the search. CA, MA, ME, MI, MT, NH, ND, OR, RI, WA, WI</p> <p>No, my office does not indicate the scope of the search. (Explanation provided). CO, KS, NC, TX</p>	<p>CO: All lapsed and unlapsed records are included. TX: "All Active" filings only. NC: Online searches are standard or non-standard; both include All results. KS: Current search does not allow, but new search will accommodate. MD: Not applicable. MI: No rule.</p>
<p><u>4h. Search logic disclaimer language.</u> [if any, insert template language] *</p> <p><i>* Please identify whether your office does or does not provide search logic disclaimer language on a search report. A copy of your jurisdiction's language is not necessary.</i></p>	<p>505.2.7</p>	<p>Yes, my office states a disclaimer. AR, CA, MI, MT, NC, ND, RI, WI, WA</p> <p>No, my office does not state a disclaimer. (Explanation provided). CO, KS, LA, MA, ME, NH, OH, OR, TN, TX</p>	<p>Yes, my office states a disclaimer. CA, MI, MT, NC, ND, RI, WA</p> <p>No, my office does not state a disclaimer. (Explanation provided). CO, KS, MA, ME, NH, OR, TX, WI</p>	<p>MA: 950 CMR 140. MI: MAR § 440.508(2). MD: Not applicable.</p>

<p><u>4i. Name provided.</u> Name as provided by searcher.</p>	<p>505.2.8</p>	<p>Yes, my office indicates the name as provided on the search request. AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WI, WA</p> <p>No, my office does not state the name as provided. (Explanation provided).</p>	<p>Yes, my office indicates the name as provided on the search request. AR, CA, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WI, WA</p> <p>No, my office does not state the name as provided. (Explanation provided). CO</p>	<p>MD: Not applicable.</p>
<p><u>4j. Search string.</u> Normalized name as provided by rule 503.</p>	<p>505.2.9</p>	<p>Yes, my office indicates the normalized name. AR, CA, LA, NH, NC, ND, OH, RI</p> <p>No, my office does not state the normalized name. (Explanation provided). CO, KS, MA, ME, MI, MT, OR, TN, TX, WA, WI</p>	<p>Yes, my office indicates the normalized name. CA, NH, NC, ND, RI</p> <p>No, my office does not state the normalized name. (Explanation provided). CO, KS, MA, ME, MI, MT, OR, TX, WA, WI</p>	<p>MT: States search logic but not the normalized name. KS, OR: Current software does not allow for this. When we do a new system, we will adopt this rule. WI: Searches are performed using exact match. MD: Not applicable. MI: No rule.</p>

<p><u>4k. Lien type searched.</u> [UCC or other type of documents searched] *</p> <p><i>* Please identify whether your office does or does not identify the lien types searched (ex. UCC, FTL) on a search report.</i></p>	<p>505.2.10</p>	<p>Yes, my office indicates the type of search performed. AR, CA, KS, LA, MA, MI, MT, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not indicate the type of search performed. (Explanation provided). CO, ME, NH,</p>	<p>Yes, my office indicates the type of search performed. AR, CA, KS, MA, MI, MT, NC, ND, OR, RI, TX, WA,</p> <p>No, my office does not indicate the type of search performed. (Explanation provided). CO, ME, NH, WI</p>	<p>CO, NH: Search results include all lien types.</p> <p>MA, TN: All searches are UCC only.</p> <p>MD: Not applicable.</p> <p>MI: No rule.</p>
<p><u>4l. Search logic used.</u> [IACA Recommended Standard Search Logic/Other]. *</p> <p><i>* Please identify whether your office does or does not indicate the search logic used on a search report.</i></p>	<p>505.2.11</p>	<p>Yes, my office indicates the search logic used. AR, KS, LA, ME, MI, MT, NH, NC, OH, RI</p> <p>No, my office does not indicate the search logic used. (Explanation provided). CO, MA, ND, OR, TX, WA, WI</p>	<p>Yes, my office indicates the search logic used. KS, ME, MI, MT, NH, NC, OR, RI</p> <p>No, my office does not indicate the search logic used. (Explanation provided). CO, MA, ND, TX, WA, WI</p>	<p>OR: Official search results are only in RA9 logic and file number. Online results can be by standard, nonstandard or file number.</p> <p>WI: Search logic is available online, but does not display with search results.</p> <p>MA: 950 CMR 140.</p> <p>MD: Not applicable.</p> <p>MI: No rule.</p>

<p><u>4m. Copies.</u> [Certified/Copies not Requested/Partial Copies/Limited Copies/Specified Copies _____/Date Range] *</p> <p><i>* Please identify whether your office does or does not indicate the status of copies provided on a search report.</i></p>	<p>505.2.12</p>	<p>Yes, my office indicates the status of copies as provided by rule. KS, LA, MA, MI, MT, NC, ND, OH, RI, TX</p> <p>No, my office does not indicate a status of copies. (Explanation provided). CA, CO, ME, OR, TN, WA, WI</p>	<p>Yes, my office indicates the status of copies as provided by rule. MA, MI, MT, RI, TX</p> <p>No, my office does not indicate a status of copies. (Explanation provided). CA, CO, KS, ME, NC, ND, OR, WA, WI</p>	<p>AR: Includes original request or a certificate. KS: Currently no status, new search will provide status. ME: No search report provided with copy only request. NC: Online searches produce an instant result that can be printed by the customer. ND: On-line copy requests not available. OR: Copies request has only one option: all certified copies for a debtor or file number. TX: Office indicates whether copies are Certified or Plain. Does not allow Date Range. Does not state if only partial copies were requested. MI: No rule. MD: Not applicable.</p>
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5. Search Reports. The search report shall contain the following:				
		Internal Procedures	On Line Procedures	Variations/Deviations
<u>5a. Identification of filing office.</u> Identification of the filing office responsible for the search report.	505.3.1	<p>Yes, my office includes the filing office identification. AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include the filing office identification. (Explanation provided).</p>	<p>Yes, my office includes the filing office identification. CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA</p> <p>No, my office does not include the filing office identification. (Explanation provided).</p>	MD: Not applicable.
<u>5b. Search report Identification number.</u> Unique number assigned under rule 505.3.2.	505.3.2	<p>Yes, my office assigns an identification number and reports such on the search report. AR, CA, KS, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not assign an identification number. (Explanation provided). CO, LA</p>	<p>Yes, my office assigns an identification number and reports such on the search report. CA, MA, MI, MT, NH, ND, RI, TX, WA</p> <p>No, my office does not assign an identification number. (Explanation provided). CO, KS, ME, NC, WI</p>	<p>ME, NC, OR: Online searches are prepared by the customer and are not tracked internally by the office.</p> <p>CO, MI: No rule.</p> <p>MD: Not applicable.</p>

<p>5c. Identification of financing statement. Identification of each initial financing statement, including a listing of all related amendments, correction statements, or filing officer notices, filed on or prior to the through date corresponding to the search criteria (including whether the searcher has requested All Records or only Unlapsed Records). Financing statement information shall include, but is not limited to the following:</p>	<p>505.3.3</p>	<p>Yes, my office indicates the Initial and all related documents. AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include the Initial and all related documents. (Explanation provided).</p>	<p>Yes, my office indicates the Initial and all related documents. CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include the Initial and all related documents. (Explanation provided).</p>	<p>WA: Related records may be limited if date limits are used or if search is performed by file number. MD: Not applicable.</p>
<ul style="list-style-type: none"> • 5c (1). Initial financing statement file number. The initial financing statement file number. 	<p>505.3.3.1</p>	<p>Yes, my office includes the Initial file number. AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, TN, TX, WA, WI</p> <p>No, my office does not include the Initial file number. (Explanation provided).</p>	<p>Yes, my office includes the Initial file number. AR, CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, TX, WA, WI</p> <p>No, my office does not include the Initial file number. (Explanation provided).</p>	<p>MD: Not applicable.</p>

<ul style="list-style-type: none"> • <u>5c (2). Initial financing statement filing date and time.</u> The date and time it was filed. 	505.3.3.2	<p>Yes, my office includes the Initial file date and time. AR, CA, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include the Initial file date and time. (Explanation provided). CO</p>	<p>Yes, my office includes the Initial file date and time. AR, CA, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include the Initial file date and time. (Explanation provided). CO</p>	<p>CO: The date only, not the time, is indicated.</p> <p>MD: Not applicable.</p>
<ul style="list-style-type: none"> • <u>5c (3). Lapse date.</u> Provide lapse date as calculated as of the through date and time established under rule 505.3.4. 	505.3.3.3	<p>Yes, my office includes the lapse date. AR, CA, CO, KS, ME, MI, MT, NH, NC, OR, TN, TX, WA, WI</p> <p>No, my office does not include the lapse date. (Explanation provided). LA, MA, ND, OH, RI,</p>	<p>Yes, my office includes the lapse date. AR, CA, CO, KS, ME, MI, MT, NH, NC, OR, TX, WA, WI</p> <p>No, my office does not include the lapse date. (Explanation provided). MA, ND, RI,</p>	<p>MA, ND, RI: Report does not provide lapse date.</p> <p>MI: No rule.</p> <p>MD: Not applicable.</p>
<ul style="list-style-type: none"> • <u>5c (4). Debtor name.</u> The debtor name(s) that appear(s) of record. 	505.3.3.4	<p>Yes, my office includes the debtor name(s). AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include the debtor(s). (Explanation provided).</p>	<p>Yes, my office includes the debtor name(s). AR, CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include the debtor(s). (Explanation provided).</p>	<p>MD: Not applicable.</p>

<ul style="list-style-type: none"> • <u>5c (5). Debtor address.</u> The debtor address(es) that appear(s) of record. 	505.3.3.5	<p>Yes, my office includes the debtor address(es). AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include the debtor address(es). (Explanation provided).</p>	<p>Yes, my office includes the debtor address(es). AR, CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include the debtor address(es). (Explanation provided).</p>	<p>MI: No rule. MD: Not applicable.</p>
<ul style="list-style-type: none"> • <u>5c (6). Secured party name.</u> The secured party name(s) that appear(s) of record. 	505.3.3.6	<p>Yes, my office includes the secured party name(s). AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include the secured party name(s). (Explanation provided).</p>	<p>Yes, my office includes the secured party name(s). AR, CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include the secured party name(s). (Explanation provided).</p>	<p>MI: No rule. MD: Not applicable.</p>

<ul style="list-style-type: none"> • <u>5c (7). Secured party address.</u> The secured party address(es) that appear(s) of record. 	505.3.3.7	<p>Yes, my office includes the secured party address(es). AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include the secured party address(es). (Explanation provided).</p>	<p>Yes, my office includes the secured party address(es). AR, CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include the secured party address(es). (Explanation provided).</p>	<p>MI: No rule. MD: Not applicable.</p>
<ul style="list-style-type: none"> • <u>5c (8). Amendment type.</u> An indication of type of each amendment, if any. 	505.3.3.8	<p>Yes, my office includes an indication of Amendment Type. AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include an indication of Amendment type. (Explanation provided).</p>	<p>Yes, my office includes an indication of Amendment Type. AR, CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include an indication of Amendment type. (Explanation provided).</p>	<p>MI: No rule. MD: Not applicable.</p>

<ul style="list-style-type: none"> • <u>5c (9). Amendment filing date and time.</u> The date and time each amendment, if any, was filed. 	505.3.3.9	<p>Yes, my office includes Amendment file date and time. AR, CA, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include Amendment file date and time. (Explanation provided). CO</p>	<p>Yes, my office includes Amendment file date and time. AR, CA, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include Amendment file date and time. (Explanation provided). CO</p>	<p>CO: The date only, not the time, is indicated. MI: No rule. MD: Not applicable.</p>
<ul style="list-style-type: none"> • <u>5c (10). Amendment file number.</u> The amendment file number of each amendment, if any. 	505.3.3.10	<p>Yes, my office includes Amendment file number. AR, CA, CO, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include Amendment file number. (Explanation provided).</p>	<p>Yes, my office includes Amendment file number. AR, CA, CO, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include Amendment file number. (Explanation provided).</p>	<p>MD: Not applicable.</p>

<ul style="list-style-type: none"> • <u>5c (11). Correction statement filing date and time.</u> The date and time a correction statement, if any, was filed. 	505.3.3. 11	<p>Yes, my office includes Correction Statement file date and time. AR, CA, KS, LA, MA, ME, MI, MT, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include Correction Statement file date and time. (Explanation provided). CO</p>	<p>Yes, my office includes Correction Statement file date and time. AR, CA, KS, MA, ME, MI, MT, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include Correction Statement file date and time. (Explanation provided). CO</p>	<p>CO: The date only, not the time, is indicated. MI: No rule. MD: Not applicable.</p>
<ul style="list-style-type: none"> • <u>5c (12). Filing officer statement filing date and time.</u> The date and time a filing officer statement, if any, was filed. 	505.3.3. 12	<p>Yes, my office includes the filing officer statement file date and time. AR, CA, KS, LA, MA, ME, MI, NH, NC, ND, OH, OR, RI, TN, TX, WA, WI</p> <p>No, my office does not include the filing officer statement file date and time. (Explanation provided). CO, MT,</p>	<p>Yes, my office includes the filing officer statement file date and time. AR, CA, KS, MA, ME, MI, NH, NC, ND, OR, RI, TX, WA, WI</p> <p>No, my office does not include the filing officer statement file date and time. (Explanation provided). CO, MT,</p>	<p>CO: The date only, not the time, is indicated. MI: No rule. MD: Not applicable.</p>