

Topic: Quality Assurance

Question by: Hayley Clarke

Jurisdiction: Nova Scotia

Date: September 16, 2021

| Jurisdiction                | Question(s)  |
|-----------------------------|--|
|                             | <p>I am interested in learning about quality assurance procedures, if any, that are in place in your business registry for those documents that require some form of adjudication. I know that some jurisdictions may do a second review of all work as it is completed and before it is posted to your records. Some others audit some percentage (would be curious of a number, and how that is determined) of transactions after they are completed. Or perhaps some form of AI. I am sure there are other ways in which the quality of your data is assured. Would love to hear about things you do today, or things you wish you could do! I am also interested in learning whether that is not something that is done or of concern.</p> |
| <b>Manitoba</b>             |  |
| <b>Corporations Canada</b>  |  |
| <b>Alabama</b>              |  |
| <b>Alaska</b>               |  |
| <b>Arizona</b>              |  |
| <b>Arkansas</b>             |  |
| <b>California</b>           |  |
| <b>Colorado</b>             |  |
| <b>Connecticut</b>          |  |
| <b>Delaware</b>             |  |
| <b>District of Columbia</b> |  |
| <b>Florida</b>              |  |
| <b>Georgia</b>              |  |
| <b>Hawaii</b>               |  |
| <b>Idaho</b>                |  |
| <b>Illinois</b>             |  |

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| Indiana       |   |
| Iowa          |   |
| Kansas        |   |
| Kentucky      |   |
| Louisiana     | <p>For paper filings, we do "verify" all work: the document, law requirements, computer entry, scanned images, evidence issued, etc?This is done* after* they are completed, so we try to stay as current as possible (1-3 days) on the verifying. This way, if any issue is found, we can contact the filer and clear it up ASAP to bring into compliance or reissue any evidence needed. For online filings that use a template, there is not a verification process. They are "verified" as they are filed. We rely on the programming we have set up with our I.T. Department, so the "required fields" are set to take an acceptable response only, this weeds out the chance for a discrepancy. If it is an uploaded online paper document, it is gone over fully before it is accepted, but there is not a 2nd verification process at present. (**Initially, we were putting them into our "paper" verifying, but once remote working conditions started, we stopped. Everyone does not have a printer at home, nor would we require them to cover the ink/paper required for that, so presently they are not looked over again.)</p> |
| Maine         |   |
| Maryland      |   |
| Massachusetts |   |
| Michigan      |   |
| Minnesota     |   |
| Mississippi   |   |
| Missouri      |   |
| Montana       |   |
| Nebraska      |   |
| Nevada        |   |
| New Hampshire |   |
| New Jersey    |   |

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| New Mexico     |  |
| New York       |  |
| North Carolina |  |
| North Dakota   |  |
| Ohio           |  |
| Oklahoma       |  |
| Oregon         |  |
| Pennsylvania   |  |
| Rhode Island   |  |
| South Carolina |  |
| South Dakota   |  |
| Tennessee      |  |
| Texas          |  |
| Utah           |  |
| Vermont        |  |
| Virginia       |  |
| Washington     |  |
| West Virginia  |  |
| Wisconsin      |  |
| Wyoming        |  |

**Additional comments:**

**Full text of email:**

Hi everyone:

I am interested in learning about quality assurance procedures, if any, that are in place in your business registry for those documents that require some form of adjudication. I know that some jurisdictions may do a second review of all work as it is completed and before it is posted to your records. Some others audit some percentage (would be curious of a number, and how that is determined) of transactions after they are completed. Or perhaps some form of AI. I am sure there are other ways in which the quality of your data is assured. Would love to hear about things you do today, or things you wish you could do! I am also interested in learning whether that is not something that is done or of concern.

Thanks in advance for your feedback.

Cheers,  
Hayley

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