

Non-UCC Lien Search Request Survey Results from State Level Filing Offices as of 5/1/2006

Part I The Index: Contents

	Question:	Survey Results	Comments
1	Do you index the following types of liens ? Please check all that are applicable and add in the space below additional types not listed:	<p>Federal Tax Liens: CT, FL, ID, IA, WA</p> <p>Individual: AZ, CO, HI, KS, LA, ME, MI, MN, NE, NV, NH, NC, ND, OK, OR, TX, WI</p> <p>Business Entities: AZ, AR, CA, CO, DE, HI, IL, KS, LA, ME, MI, MN, MT, NE, NV, NH, NC, ND, OK, SD, TX, VA, WI, WY</p> <p>Mechanics Liens: AZ, CT, DE, HI, ME, MN, NE (Agister Liens)</p> <p>State Tax Liens: AZ, CA, CT, HI, ID, ME, MI, MN, NE, NH, ND, WA, WY</p> <p>Other: AZ (Utility, Mobile Home, Bulk, Racketeering, Restitution), CA (Attachment Leins, Judgment Liens, Pension Benefit Liens), CO (Agistor, EFS, Hospital, Stud Service, Harvester, CO Housing and Finance Authority, Child Support, and Restitution), CT (Vessel Liens, Aircraft Liens), FL (Judgment Liens), ID (Agricultural liens), ME (Child Support & Enforcement), MN (Effective Financing Statemnets "EFS" under the USDA Food & Securities Act), MT (EFS "Farm Bill" Liens, Title 71 Statutory Liens, Child Support Liens, UCC Liens, NE (Statutory Liens, Agister, Electrical, Feed, Fertilizer, Petroleum Products, Seed, Threshers, Veterinarian,</p>	-For IA, they plan to add broader search logic for FTLs by July 1, 2006.

		<p>Agricultural Production Input, Judgements, Abstract of Judgement, Criminal Fine Enforcement, Notice of Lien for Fine or Penalty for Sentencing, EFS. ND (CNS Liens for farm products, and statutory liens and notices), OR (Revenue Warrants, Employment, Warrants), PA (LLC/LLP Liens for failure to file annual report, Hazardous Waste Liens, PUC Liens), TX (Utility Security Instruments, Public-Finance Transactions, Manufactured-Home Liens, Agricultural Liens, Restitution Liens, Transition Property Notice, Contract Agricultural and Aircraft Maintenance Liens for non-US owned aircraft), UT (Non-Fixture and Agricultural filings), WA (Dept of Justice Liens, Criminal Profiteering Liens, Processor Liens, Preparer Liens, Agricultural Liens), WI (Trasmitting Utility, Public Finance Manufactured Home and Wage Claim Liens).</p> <p>States that do not file Non-UCC Liens at the Central-Level: IN, KY, MO, NM, RI, SC, TN, VT, WV</p>	
2	Are the liens, you indicated above, maintained in one database?	<p>Yes: AK, AZ, AR, CA, CO, CT, DE, HI, ID, IL, IA, KS, LA, ME, MI, MN, MS, MT, NV, NH, NC, ND, OK, OR, PA, SD, TX (each type of filing has its own unique Filing Type), UT, VA, WA, WI, WY</p> <p>No: FL, NE</p>	
3	Are non-UCC liens maintained in the same database as the UCC filings?	<p>Yes: AZ, CA, CO, CT, DE, HI, ID, IA, KS, LA, ME, MI, MN (for EFS liens), MS, MT, NV, NH, NC, ND, OK, OR, PA, SD, TX, UT, VA, WA, WI, WY.</p> <p>No: AK, FL, IL,</p>	-For ND, each lien type is maintained in a separate index.

Part II Searching the Index: Search Logic

	Question:	Survey Results: Paper (from in house, not web)	Survey Results: Web base	Comments
1	Do you apply Revised Article 9 search logic to a search request for the above indicated non-UCC liens?	<p><u>Federal Tax Liens:</u></p> <p>Yes: AZ, AR, CA, DE, HI, ID, IA, KS, LA, ME, MI, MS, MT, NV, NH, NC, OK, OR, SD, TX, WA, WY</p> <p>No: CO, CT, IL, MN, ND, SD, UT, VA, WI</p> <p><u>State Tax Liens</u></p> <p>Yes: AZ, CA, CT, HI, ID, ME, MI, NH, OR, WA, WY</p> <p>No: KS, MN, ND, UT</p> <p><u>Other Liens</u></p> <p>Yes: AZ, CA, CT, HI, ID, ME, MT, NV, NH, OK, OR, PA, TX, WA, WY</p> <p>No: CO, KS, MN, ND, UT, WA, WI</p>	<p><u>Federal Tax Liens</u></p> <p>Yes: AZ, AR, CA, ID, IA, ME, MI, MS, MT, NV, NH, OR, SD, TX, WA, WY</p> <p>No: CA, CO, CT, FL, ID, KS, MN, NC, ND, OK, SD, UT, VA, WI</p> <p><u>State Tax Liens</u></p> <p>Yes: AZ, CA, CT, ID, ME, MI, NH, OR, WY</p> <p>No: CA, ID, KS, MN, ND, UT, WA</p> <p><u>Other Liens</u></p> <p>Yes: AZ, ID, CA, ME, MT, NV, NH, OK, OR, PA, TX, WA, WY</p> <p>No: CA, CO, FL, ID, KS, MN, ND, UT, WA, WI</p>	<p>-For CA, the web gives customers the ability to do a standard search logic search and a non-standard character string search. Only the standard search can be certified.</p> <p>-For CO, search logic doesn't follow RA9 100%; they are planning an upgrade in May of 2008.</p> <p>-For FL, no searches are done by Division staff. All searches are done by the requestor or by a service company. They pay to do the search.</p> <p>-For ID, web-base searches are available by RA9 logic and also by the old way of searching. The customer decides.</p> <p>-For KS, they have non-standard search logic online that customers may use. They will have standard search logic available on-line July 1, 2006.</p> <p>-For MN, Tax liens are a separate search from UCC and include state and federal. EFS is a separate search as well.</p>

-For MS, all paper searches are performed using RA9 Standard Search Logic. Web-based searches are user generated, are not certified, and depending on the user selections may or may not indicate whether the search is standard or non-standard.

-For MT, RA9 search logic is for certified searches only. And will produce a certified search certificate report. They also provide a loose search through the web only that is not certified and does not produce paper output.

-For NE, Web-base searches are performed using the same search logic as inhouse, paper based searches.

-For OK, for paper, they begin a search using a partial string for the name. Once the search results are viewed, the clerk will apply IACA rule with respect to ending noise words.

-For OR, web-base search logic has both RA9 and a broader wild card option.

-For SD, they still offer Soundex Logic and aren't planning on eliminating it.

-For TX, standard RA9 search logic includes similar names and

				<p>there are some differences in the noise word table. All other logic is RA9 compliant.</p> <p>-For VA, only FTLs for businesses are applicable.</p> <p>-For WI, they do not perform in-house search requests, as the entire database is available for searching on-line at no cost. They sometimes perform a paper-certified search request for which they do not apply strict RA9 search logic, but they are very specific in what results will be reported with no variations in name.</p> <p>-</p>
2	<p>If you answered No to the above question, is your non-UCC liens search logic broader than Revised Article 9 search logic i.e. "loosey goosey".</p>	<p><u>Federal Tax Liens:</u> Yes: CO, IL, OK, SD, VA, WI</p> <p>No: KS, ND, UT,</p> <p><u>State Tax Liens</u></p> <p>Yes:</p> <p>No: ND, UT</p> <p><u>Other Liens</u> Yes: CO, OK, WI</p>	<p><u>Federal Tax Liens</u></p> <p>Yes: CO, CT, FL, KS, NC, OK, SD, VA, WI</p> <p>No: ND, UT</p> <p><u>State Tax Liens</u></p> <p>Yes: CT</p> <p>No: ND, UT</p> <p><u>Other Liens</u> Yes: CO, CT, OK, WI</p>	<p>-For FL, searches are done by "compact" search—strict alphabetic order with spaces and punctuation omitted or by "actual name" like the phone book (used for a person's name rather than business name).</p> <p>-For IL, same search logic that was used under Old Article 9.</p> <p>-For MN, they apply the RA9 search logic with a modification to include name variations (i.e. if the name being searched is Robert Smith, the results will also include Bob, Robby, Rob.</p> <p>-For MT, The loose search is much</p>

	No: ND, UT	No: FL, ND, UT	broader than the RA9 certified search. "Separated party" is the search technique used. -For OK, Because of the nature of our database we begin a search using a partial string for the name. Once the search results are viewed the clerk will apply IACA rules with respect to ending noise words.
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Part III Reporting the Index: Cover Sheets and Search Results

	Question:	Survey Results: Paper (from in house, not web)	Survey Results: Web	Comments
1	If a UCC and non-UCC search are requested, do you provide two separate search result reports?	<p>Yes: DE, HI, IL, IA (if required), MN, NC, VA</p> <p>No: AZ, AR, CO, CT, ID, KS, LA, ME, MI, MS, MT, NE, NV, NH, ND, OK, OR, PA, SD, TX, UT, WA, WI, WY</p>	<p>Yes: MN, TX, VA</p> <p>No: AZ, AR, CA, CO, CT, IA, KS, ME, MI, MS, MT, NE, NV, NH, ND, OK, OR, PA, SD, UT, WA, WI, WY</p>	<p>-For AK, Handled from different databases (Recorded vs filed).</p> <p>-For CA, (No "report" is generated. Customer can print the web page showing the results),</p> <p>-For ID, that is up to the customer because they are the ones conducting the search.</p> <p>-For MT, a non-certified search (loose search) can only be performed n the web by our customers and does not produce paper output.</p> <p>-For NV, they don't provide a Non-UCC search</p> <p>-For NC, the web research is done by the customer.</p> <p>-For TX, it's yes to the web search because they provide Wildcard searches. It is display only and provides only Financing Statement Number and Debtor Name. No report and no cove sheet.</p> <p>-For WA, default is ALL; would require two separate search requests.</p>

				-For WI, UCC & non-UCC liens are available in the same database.
2	If you provide separate cover sheets do you indicate, on the cover sheets, the type of search logic applied i.e. RA9 or non-RA9?	<p>Yes: MN, NC</p> <p>No: AZ, AR, HI, IL, IA, KS, OK, OR (in-house only uses RA9 logic for all lien types), SD, VA, WA,WI</p>	<p>Yes: MN,</p> <p>No: AZ, AR, IA, KS, OK, OR, SD, VA, WA, WI</p>	<p>-For AR only provides RA9 Searches.</p> <p>-For ID, that is up to the customer because they are the ones conducting the search.</p> <p>-For ME, they only use one cover sheet.</p> <p>-For MN, the terms used are "certified" (RA9) and "inquiry" (RA9 + name variations).</p> <p>-For UT, cover sheets are not provided for uncertified search results.</p> <p>-For VA, the type of search logic will be reflected on the Search Report.</p> <p>-For WI, cover sheets are not provided when performing web searches.</p>
3.	If you provide one cover sheet for both the UCC and Non-UCC search results do you indicate the type of search logic used?	<p>Yes: MI, NH, WY</p> <p>No: AZ, CO, CT, HI, ID, IL, IA, KS, LA, ME, NV, ND, OK, OR, PA, SD, WA, WI</p>	<p>Yes: MI, NH, WY</p> <p>No: AZ (indicated on website to be 100% RA-9 Compliant), CO, CT, IA, KS, ME, NV, ND, OK, OR, PA, SD, WA</p>	<p>-For ID, that is up to the customer because they are the ones conducting the search.</p> <p>-For IL, not offered.</p> <p>-For MI, UCC search results include both financing statement and tax lien records. A customer makes the search request by debtor name. If tax liens exist</p>

				<p>under the name searched, the tax lien information is reported in the search results with UCC financing statements matching the same name. The search report indicates that tax liens and financing statement are included and that standard RA9 base search logic is used.</p> <p>-For NH, Yes, standard RA9 Search.</p>
4.	<p>If you provide one cover sheet for both the UCC and Non-UCC search request and indicate type of search logic, do you indicate (if applicable) that two types of search logics were used i.e. RA9 for UCC and Non-RA9 for Non-UCC liens?</p>	<p>Yes: NH</p> <p>No: AZ, CO, CT, HI, ID, IL, KS, ME, OR, SD, VA, WI</p>	<p>Yes: NH,</p> <p>No: AZ, CO, CT, KS, ME, OR, SD, VA</p>	<p>-For ID, that is up to the customer because they are the ones conducting the search.</p> <p>-For NH, Yes, standard RA9 Search.</p> <p>-For VA, the type of search logic will be reflected on the Search Report. Answers are based on new Lien System to be implemented.</p>
5.	<p>If you apply a non-RA9 search logic to non-UCC lien searches do you report similar names?</p>	<p>Yes: HI, MN, NH, SD, TX, UT, VA, WY</p> <p>No: AZ, CO, IL, KS, ND, OK, OR, SD, WI</p>	<p>Yes: MN, NH, SD, TX, UT, VA, WY</p> <p>No: AZ, CA, CO, KS, ND, OR, SD</p>	<p>-For ID, that is up to the customer because they are the ones conducting the search.</p> <p>-For KS, the non-standard search online allows customers to see a list of similar names (especially if they searched a partial name) and they can select the filings they're interested in.</p> <p>-For Maine, they have only one search logic.</p>

			<ul style="list-style-type: none">-For NE, it would depend on how the requester fills out the search form.-For NH, Yes, standard RA9 Search.-For OK, depending on how the partial string was entered, similar names may be included in initial search results.-For SD, they ask the company or person requesting, provide additional information.-For VA, answers are based on the new Lien System to be implemented.-For WI, on web search, similar names could be reported depending on how the debtor name was searched.
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