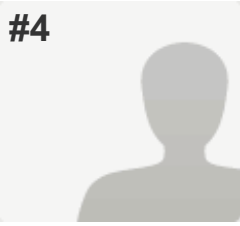


#4



COMPLETE

Collector: Web Link (Web Link)
Started: Wednesday, February 18, 2015 10:40:06 AM
Last Modified: Thursday, February 19, 2015 11:37:09 AM
Time Spent: Over a day
IP Address: 170.63.14.168

PAGE 1

| | |
|-------------------------|---------------|
| Q1: Jurisdiction | Massachusetts |
|-------------------------|---------------|

PAGE 2: Business Organization Section

| | |
|---|---|
| Q2: Number of domestic business and professional corporations filed during the year | 9494 |
| Q3: Number (or % of total) from #1 that were filed electronically | 80% |
| Q4: Number of foreign business and professional corporations qualified during the year | 6264 |
| Q5: Number (or % of total) from #3 that were filed electronically | 74% |
| Q6: Total number of domestic and foreign business and professional corporations on file (Good standing or active) on this date. | 195764 |
| Q7: Total revenue collected for the initial filing for domestic and foreign business and professional corporations during the year | 3,687,474 |
| Q8: Comments for Business and Professional section | <i>Respondent skipped this question</i> |
| Q9: Number of domestic nonprofit corporations filed during the year | 2059 |
| Q10: Number (or % of total) from #8 that were filed electronically | 77% |
| Q11: Number of foreign nonprofit corporations filed during the year | n/a |

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|---|---|
| Q12: Number (or % of total) from #10 that were filed electronically | n/a |
| Q13: Total number of domestic and foreign nonprofit corporations on file (good standing or active) on this date | 33748 |
| Q14: Total revenue collected for the initial filing for domestic and foreign nonprofit corporations during the year | 72,495 |
| Q15: Comments for Nonprofit section | <i>Respondent skipped this question</i> |
| Q16: Number of domestic limited liability companies filed during the year | 19352 |
| Q17: Number (or % of total) from #15 that were filed electronically | 85% |
| Q18: Number of foreign limited liability companies qualified during the year | 3727 |
| Q19: Number (or % of total) from #17 that were filed electronically | 75% |
| Q20: Total number of domestic and foreign limited liability companies on file (good standing or active) on this date | 148854 |
| Q21: Total revenue collected for the initial filing for domestic and foreign limited liability companies during the year | 11,534,525 |
| Q22: Comments for LLC section | <i>Respondent skipped this question</i> |
| Q23: Number of domestic limited partnerships filed during the year | 222 |
| Q24: Number (or % of total) from #22 that were filed electronically | 88% |
| Q25: Number of foreign limited partnerships qualified during the year | 197 |
| Q26: Number (or % or total) from #24 that were filed electronically | 92% |

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| | |
|--|---|
| Q27: Total number of domestic and foreign limited partnerships on file (good standing or active) on this date | 8844 |
| Q28: Total revenue collected for the initial filing for domestic and foreign limited partnerships during the year | 84,100 |
| Q29: Comments for LP section | <i>Respondent skipped this question</i> |
| Q30: Number of domestic limited liability partnerships filed during the year | 45 |
| Q31: Number (or % of total) from #29 that were filed electronically | 38% |
| Q32: Number of foreign limited liability partnerships qualified during the year | 26 |
| Q33: Number (or % of total) from #31 that were filed electronically | 42% |
| Q34: Total number of domestic and foreign limited liability partnerships on file (good standing or active) on this date | 2503 |
| Q35: Total revenue collected for the initial filing of domestic and foreign limited liability partnerships during the year | 35,500 |
| Q36: Comments for LLP section | <i>Respondent skipped this question</i> |
| Q37: Number of domestic limited liability limited partnerships filed during the year | n/a |
| Q38: Number (or % of total) from #36 that were filed electronically | n/a |
| Q39: Number of foreign limited liability limited partnerships qualified during the year | n/a |
| Q40: Number (or % of total) from #38 that were filed electronically | n/a |
| Q41: Total number of domestic and foreign limited liability limited partnerships on file (good standing or active) on this date | n/a |

| | |
|--|---|
| Q42: Total revenue collected for the initial filing for domestic and foreign limited liability limited partnerships during the year | n/a |
| Q43: Comments for LLLP section | |
| Massachusetts does not have this entity type. Foreign LLLPs register as foreign limited partnerships. | |
| Q44: Number of domestic general partnerships filed during the year | n/a |
| Q45: Number (or % of total) from #43 that were filed electronically | n/a |
| Q46: Number of foreign general partnerships qualified during the year | n/a |
| Q47: Number (or % of total) from #45 that were filed electronically | n/a |
| Q48: Total number of domestic and foreign general partnerships on file (good standing or active) on this date | n/a |
| Q49: Total revenue collected for the initial filing of domestic and foreign general partnerships during the year | n/a |
| Q50: Comments for General Partnerships section | |
| General Partnerships in Massachusetts do not file at the State level. | |
| Q51: Number of domestic business trusts filed during the year | 45 |
| Q52: Number (or % of total) from #50 that were electronically filed | 31% |
| Q53: Number of foreign business trusts filed during the year | <i>Respondent skipped this question</i> |
| Q54: Number (or % of total) from #52 that were filed electronically | <i>Respondent skipped this question</i> |
| Q55: Total number of domestic and foreign business trusts on file (good standing or active) on this date | 8980 |
| Q56: Total revenue collected for the initial filing of domestic and foreign business trusts during the year | 9000 |

Q57: Comments for Business Trusts section

Foreign Business Trusts are not marked differently from domestic business trusts.

Q58: Number of incoming telephone calls handled for the year 2,000,000

Q59: Number of employees handing incoming telephone calls 67

Q60: Comments for Incoming Calls section

About half of the phone calls are handled by the Call Center staff, which is comprised of 8 full time staff members. The remaining calls are handled by the rest of the staff in their sections.

Q61: Number of full-time employees 67

Q62: Comments for Employees section *Respondent skipped this question*

Q63: Do you currently accept filings electronically? Yes

Q64: If YES to #62, please check all of the delivery options that apply. If No, please go to #64 Direct Web Access, XML, Other (explain in comments)

Q65: If NO to # 62, do you expect to accept electronic filings in the near future? *Respondent skipped this question*

Q66: If YES to #64, please check all of the delivery options that apply *Respondent skipped this question*

Q67: Comments for Electronic Filings section

Other is fax submissions

Q68: Do you accept filings electronically for any of the following?

Domestic Initial Formation (articles, etc.) Direct Web Access, Other (add comment)

Domestic Amendments Direct Web Access, Other (add comment)

Foreign Initial Formation Other (add comment)

Annual Reports Direct Web Access, XML, Other (add comment)

Change of Registered Agent Direct Web Access, Other (add comment)

Change of Registered Office Direct Web Access, Other (add comment)

Q69: Comments for #67

Respondent skipped this question

Q70: Do you provide electronic access to the following? Please check all that apply.

| | |
|--|--------------------------|
| Name Availability | Searching/Viewing, Print |
| Copies of Entity Filings | Searching/Viewing, Print |
| Certified Copies of Entity Filings | Searching/Viewing |
| Certificates of Existence or Good Standing | Searching/Viewing |

Q71: Fees and URLs for the above in #69

The fee schedule for the Division is available at this link:
http://www.sec.state.ma.us/cor/corpdf/Fee_Schedule.pdf

Q72: Do you provide electronic access to the following? Please check all that apply.

| | |
|-----------|---------|
| Bulk Data | FTP, CD |
|-----------|---------|

Q73: Fees and URLs for the above in #71

\$50.00 for each specific request; \$100.00 for the full system.

Q74: Provide the top 3 highlights during the period ending (challenges, accomplishments, developments)

1. Dissolution project made names available, cleared out dead entities, and resulted in revenue of 3 million dollars for annual reports and reinstatements.
2. Sold the Corporations System to Michigan
3. Continued with the update to .Net

Q75: Provide a top priority for your office in the next twelve months

Continuing with the update to .Net
 Working with additional jurisdictions interested in purchasing the system.

PAGE 3: Secured Transaction Section

Q76: Number of financing statements filed during the year 28726

Q77: Number of other notices (EFSs, tax liens, etc.) filed during the year 12405

Q78: Number (or % of total) from #1 and #2 that were filed electronically 37%

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| | |
|---|---|
| Q79: Number of amendments, assignments, continuations, partial discharges or terminations filed during the year | 35448 |
| Q80: Number (or % of total) from #4 that were filed electronically. | 30% |
| Q81: Total number of *active financing statements on file on this date (*a UCC record that has not reached the 1-year anniversary date of its lapse) | 320697 |
| Q82: Total revenue collected during the year | 1579840 |
| Q83: Comments for Filings section | |
| Tax Liens are sent from the Department of Revenue. | |
| Q84: Number of search requests processed during the year | 1335 |
| Q85: Number of searches (or % of total) from #9 performed by filing office personnel | 74% |
| Q86: Number of searches (or % of total) from #9 conducted online by end users | 26% |
| Q87: Total revenue from searches collected during the year | 25,602 |
| Q88: Comments for Searches section | <i>Respondent skipped this question</i> |
| Q89: Number of incoming telephone calls handled for the year | <i>Respondent skipped this question</i> |
| Q90: Number of employees handling incoming telephone calls | <i>Respondent skipped this question</i> |
| Q91: Comments for Telephone Calls section | |
| The UCC calls are added into the calls stated in #57 above. There are three full time and one part time staff which handle calls. | |
| Q92: Number of full-time employees | 4 |
| Q93: Comments for Employees section | <i>Respondent skipped this question</i> |
| Q94: Do you currently accept filings electronically? | Yes |

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| | |
|--|---|
| Q95: If yes to #19, please check all of the delivery options that apply | Direct Web Access, XML |
| Q96: If you answered NO to #19, do you expect to accept filings electronically in the near future? | <i>Respondent skipped this question</i> |
| Q97: IF YES to #21, please check all that may apply | <i>Respondent skipped this question</i> |
| Q98: Comments for Electronic Filings section | <i>Respondent skipped this question</i> |
| Q99: Do you provide electronic access to the following? Please check all that apply. | |
| Information | Searching/Viewing, Print |
| Copies | Searching/Viewing, Print |
| Certified Information | Searching/Viewing |
| Certified Copies | Searching/Viewing |
| Q100: Please provide fees, if applicable, and URL for service for #24 | <i>Respondent skipped this question</i> |
| Q101: Do you provide electronic access to the following? Please check all that apply. | |
| Bulk Data | FTP, CD |
| Bulk Copies | FTP, CD |
| Q102: Please provide fees, if applicable, and URL for service for #26 | |
| \$50.00 for each specific request; \$100.00 for a full system copy. | |
| Q103: Comments for Electronic Accessibility section | <i>Respondent skipped this question</i> |
| Q104: Provide the top 3 highlights during the period ending (challenges, accomplishments, developments) | <i>Respondent skipped this question</i> |
| Q105: Provide a top priority for your office in the next twelve months | <i>Respondent skipped this question</i> |