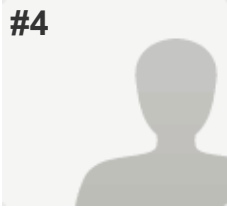


#4

**COMPLETE****Collector:** New Link (Web Link)**Started:** Tuesday, April 08, 2014 6:49:27 AM**Last Modified:** Tuesday, April 15, 2014 9:42:38 AM**Time Spent:** Over a day**IP Address:** 108.59.48.1

PAGE 1

Q1: Jurisdiction

Indiana

PAGE 2: Business Organization Section

Q2: Domestic business and professional corporations filed during the year

12/31/2012	6,720
12/31/2013	6,258

Q3: Number (or % of total) from #1 that were filed electronically

12/31/2012	85
12/31/2013	85.2

Q4: Foreign business and professional corporations qualified during the year

12/31/2012	2,156
12/31/2013	2,131

Q5: Number (or % of total) from #3 that were filed electronically

12/31/2012	0
12/31/2013	0

Q6: Total number of domestic and foreign business and professional corporations on file (Good standing or active) on this date.

12/31/2012	not available
12/31/2013	136,134

Q7: Total revenue collected for the initial filing for domestic and foreign business and professional corporations during the year

12/31/2012	720,132
12/31/2013	684,016

Q8: Comments for Business and Professional section *Respondent skipped this question***Q9: Domestic nonprofit corporations filed during the year**

12/31/2012	2,854
12/31/2013	2,830

Annual Report of Jurisdictions 2012 and 2013

Q10: Number (or % of total) from #8 that were filed electronically

12/31/2012	74
12/31/2013	73

Q11: Total number of domestic and foreign nonprofit corporations on file (good standing or active) on this date

12/31/2012	not available
12/31/2013	42,244

Q12: Total revenue collected for the initial filing for domestic and foreign nonprofit corporations during the year

12/31/2012	67,647
12/31/2013	67,845

Q13: Comments for Nonprofit section

Respondent skipped this question

Q14: Domestic limited liability companies filed during the year

12/31/2012	30,781
12/31/2013	31,760

Q15: Number (or % of total) from #13 that were filed electronically

12/31/2012	89.4
12/31/2013	90

Q16: Foreign limited liability companies qualified during the year

12/31/2012	2,141
12/31/2013	2,409

Q17: Number (or % of total) from #15 that were filed electronically

12/31/2012	0
12/31/2013	0

Q18: Total number of domestic and foreign limited liability companies on file (good standing or active) on this date

12/31/2012	not available
12/31/2013	204,40

Q19: Total revenue collected for the initial filing for domestic and foreign limited liability companies during the year

12/31/2012	2,572,540
12/31/2013	2,685,338

Q20: Comments for LLC section

Respondent skipped this question

Q21: Domestic limited partnerships filed during the year

12/31/2012	165
12/31/2013	147

Annual Report of Jurisdictions 2012 and 2013

Q22: Number (or % of total) from Q.20 that were filed electronically

12/31/2012	72
12/31/2013	75

Q23: Foreign limited partnerships qualified during the year

12/31/2012	58
12/31/2013	59

Q24: Number (or % of total) from Q.22 that were filed electronically

12/31/2012	50
12/31/2013	48.2

Q25: Total number of domestic and foreign limited partnerships on file (good standing or active) on this date

Respondent skipped this question

Q26: Total revenue collected for the initial filing for domestic and foreign limited partnerships during the year

12/31/2012	18,012
12/31/2013	16,650

Q27: Comments for LP section

Respondent skipped this question

Q28: Domestic limited liability partnerships filed during the year

12/31/2012	228
12/31/2013	243

Q29: Number (or % of total) from #27 that were filed electronically

12/31/2012	81.5
12/31/2013	88

Q30: Foreign limited liability partnerships qualified during the year

12/31/2012	16
12/31/2013	14

Q31: Number (or % of total) from #29 that were filed electronically

12/31/2012	87.5
12/31/2013	64.3

Q32: Total number of domestic and foreign limited liability partnerships on file (good standing or active) on this date

12/31/2012	not available
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Q33: Total revenue collected for the initial filing of domestic and foreign limited liability partnerships during the year

12/31/2012	19,160
12/31/2013	20,036

Q34: Comments for LLP section

Respondent skipped this question

Annual Report of Jurisdictions 2012 and 2013

Q35: Domestic limited liability limited partnerships filed during the year

12/31/2012	0
12/31/2013	0

Q36: Number (or % of total) from #34 that were filed electronically

12/31/2012	0
12/31/2013	0

Q37: Foreign limited liability limited partnerships qualified during the year

12/31/2012	0
12/31/2013	0

Q38: Number (or % of total) from #36 that were filed electronically

12/31/2012	0
12/31/2013	0

Q39: Total number of domestic and foreign limited liability limited partnerships on file (good standing or active) on this date

12/31/2012	0
12/31/2013	0

Q40: Total revenue collected for the initial filing for domestic and foreign limited liability limited partnerships during the year

12/31/2012	0
12/31/2013	0

Q41: Comments for LLLP section

IN does not have this entity type

Q42: Domestic general partnerships filed during the year

12/31/2012	0
12/31/2013	0

Q43: Number (or % of total) from #41 that were filed electronically

12/31/2012	0
12/31/2013	0

Q44: Foreign general partnerships qualified during the year

12/31/2012	0
12/31/2013	0

Q45: Foreign general partnerships qualified during the year

12/31/2012	0
12/31/2013	0

Q46: Number (or % of total) from #44 that were filed electronically

12/31/2012	0
12/31/2013	0

Annual Report of Jurisdictions 2012 and 2013

Q47: Total number of domestic and foreign general partnerships on file (good standing or active) on this date

12/31/2012	0
12/31/2013	0

Q48: Total revenue collected for the initial filing of domestic and foreign general partnerships during the year

12/31/2012	0
12/31/2013	0

Q49: Comments for General Partnerships section

GPs are filed at the county level in IN

Q50: Domestic business trusts during the year

12/31/2012	1
12/31/2013	1

Q51: Number (or % of total) from #49 that were electronically filed

12/31/2012	0
12/31/2013	0

Q52: Foreign business trusts filed during the year

12/31/2012	0
12/31/2013	0

Q53: Number (or % of total) from #51 that were filed electronically

12/31/2012	0
12/31/2013	0

Q54: Total number of domestic and foreign business trusts on file (good standing or active) on this date

12/31/2012	not available
12/31/2013	21

Q55: Total revenue collected for the initial filing of domestic and foreign business trusts during the year

12/31/2012	20
12/31/2013	20

Q56: Comments for Business Trusts section

Respondent skipped this question

Q57: Number of incoming telephone calls handled for the year

12/31/2012	not available
12/31/2013	62,0000

Q58: Number of employees handing incoming telephone calls

12/31/2012	3 full time and 5 intermittently
12/31/2013	3 full time and 5 intermittently

Q59: Comments for Incoming Calls section

Respondent skipped this question

Annual Report of Jurisdictions 2012 and 2013

Q60: Number of full-time employees

12/31/2012	13
12/31/2013	13

Q61: Comments for Employees section

Director, Deputy Director and 11 full time staff members.

Q62: Do you currently accept filings electronically? Yes

Q63: If YES to #61, please check all of the delivery options that apply. If No, please go to #64 Direct Web Access

Q64: Comments for Electronic Filings section

New system to include XML, Bulk XML and B2B.

Q65: If NO to # 61, do you expect to accept electronic filings in the near future? *Respondent skipped this question*

Q66: If YES to #64, please check all of the delivery options that apply *Respondent skipped this question*

Q67: Comments for Electronic Filings section *Respondent skipped this question*

Q68: Do you accept filings electronically for any of the following?

Domestic Initial Formation (articles, etc.)	Direct Web Access
Domestic Amendments	Direct Web Access
Annual Reports	Direct Web Access
Name Reservations	Direct Web Access
Change of Registered Agent	Direct Web Access
Change of Registered Office	Direct Web Access

Q69: Comments for #67 *Respondent skipped this question*

Q70: Do you provide electronic access to the following? Please check all that apply.

Name Availability	Searching/Viewing
Copies of Entity Filings	Searching/Viewing, Print
Certificates of Existence or Good Standing	Print

Q71: Fees and URLs for the above in #69

name avail: free https://secure.in.gov/sos/online_corps/name_search.aspx
 Copies/Certified copies: free
https://secure.in.gov/sos/online_corps/view_details.aspx?guid=C95DD34A-B4C1-47C1-86AB-F79035EE1203
 Cert. Existence:\$20
https://secure.in.gov/sos/online_corps/corp_orders/select_order_type.aspx

Annual Report of Jurisdictions 2012 and 2013

Q72: Do you provide electronic access to the following? Please check all that apply.

Bulk Data

FTP, CD

Q73: Fees and URLs for the above in #71

Monthly Listing: \$35/month
<https://secure.in.gov/apps/ii/servlet/kwikfile?service-code=2404>
database + monthly= \$15k + \$3750/month
one time down load = \$3000
<http://www.in.gov/sos/business/2440.htm>

Q74: Provide the top 3 highlights during the period ending (challenges, accomplishments, developments)

Created and launched the Email Manager
RFP for the Business One Stop
RFS for the Business Services Division (now on hold)

Q75: Provide a top priority for your office in the next twelve months

Business One Stop. Secretary of State, Department of Revenue and Department of Workforce Development are engaged in developing an online portal that will allow the user to process filings with the three agencies using one user name and password. The long term plan is to add other agencies to the portal to further reduce the number of agencies constituents are required to contact individually in order to create and maintain their business entities.

PAGE 3: Secured Transaction Section

Q76: Financing statements filed during the year

12/31/2012	118,602
12/31/2013	112,391

Q77: Other notices (EFSs, tax liens, etc.) filed during the year

12/31/2012	0
12/31/2013	0

Q78: Number (or % of total) from #1 and #2 that were filed electronically

12/31/2012	85
12/31/2013	84

Q79: Amendments, assignments, continuations, partial discharges or terminations filed during the year

12/31/2012	n/a
12/31/2013	n/a

Q80: Number (or % of total) from #4 that were filed electronically.

12/31/2012	n/a
12/31/2013	n/a

Annual Report of Jurisdictions 2012 and 2013

Q81: Total number of *active financing statements on file on this date (*a UCC record that has not reached the 1-year anniversary date of its lapse)

12/31/2012	not available
12/31/2013	453,941

Q82: Total revenue collected during the year

12/31/2012	\$182,143.00
12/31/2013	\$184,204.00

Q83: Comments for Filings section

The UCC totals include the initial filing and any amendments. Total revenue includes filings and searches.

Q84: Search requests processed during the year

12/31/2012	32,151
12/31/2013	33,000

Q85: Number of searches (or % of total) from #9 performed by filing office personnel

12/31/2012	1
12/31/2013	1

Q86: Number of searches (or % of total) from #9 conducted online by end users

12/31/2012	99
12/31/2013	99

Q87: Total revenue from searches collected during the year

12/31/2012	see above revenue numbers
12/31/2013	see above revenue numbers

Q88: Comments for Searches section

Filing and Search revenue cannot be separated.

Q89: Number of incoming telephone calls handled for the year

12/31/2012	unknown
12/31/2013	unknown

Q90: Number of employees handling incoming telephone calls

12/31/2012	unknown
12/31/2013	unknown

Q91: Comments for Telephone Calls section

No statistics for UCC calls.

Q92: Number of full-time employees

12/31/2012	1
12/31/2013	1

Q93: Comments for Employees section

Respondent skipped this question

Annual Report of Jurisdictions 2012 and 2013

Q94: Do you currently accept filings electronically?	Yes
Q95: If yes to #19, please check all of the delivery options that apply	Direct Web Access
Q96: If you answered NO to #19, do you expect to accept filings electronically in the near future?	<i>Respondent skipped this question</i>
Q97: IF YES to #21, please check all that may apply	<i>Respondent skipped this question</i>
Q98: Comments for Electronic Filings section	<i>Respondent skipped this question</i>
Q99: Do you provide electronic access to the following? Please check all that apply.	
Information	Searching/Viewing, Print
Copies	Searching/Viewing, Print
Certified Information	Searching/Viewing, Print
Certified Copies	Searching/Viewing, Print
Q100: Please provide fees, if applicable, and URL for service for #24	
http://www.in.gov/sos/business/2469.htm	
Q101: Do you provide electronic access to the following? Please check all that apply.	
Bulk Data	FTP, CD
Q102: Please provide fees, if applicable, and URL for service for #26	
Master copy of table data and images + weekly updates = \$25,000 annually Master copy of table data and images + monthly updates = \$15,000 annually Master copy of table data and images \$3000 http://www.in.gov/sos/business/3375.htm	
Q103: Comments for Electronic Accessibility section	<i>Respondent skipped this question</i>
Q104: Provide the top 3 highlights during the period ending (challenges, accomplishments, developments)	
We have seen a reduction in sovereign filings being submitted in paper since we have started rejecting them. Fraudulent filings were added to Indiana's Criminal Code.	
Q105: Provide a top priority for your office in the next twelve months	
New online filing system which will include workflow and business rules related to fraudulent filings. Mandating online UCC filing and searches.	