





JULY 1ST IS COMING *THE NEW FORMS*

Presented by:
Paul Hodnefield
Associate General Counsel
Corporation Service Company



The UCC1 Financing Statement



 CORPORATION SERVICE COMPANY[®]

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The UCC1 Financing Statement

UCC FINANCING STATEMENT
FOLLOW INSTRUCTIONS

A. NAME & PHONE OF CONTACT AT FILER (optional)
B. E-MAIL CONTACT AT FILER (optional)
C. SEND ACKNOWLEDGMENT TO: (Name and Address)

1. DEBTOR'S NAME: Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 1b, leave all of item 1 blank, check here and provide the Individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

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The UCC1 Financing Statement

1. DEBTOR'S NAME: Provide only one Debtor name (1a or 1b) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name); if any part of the Individual Debtor's name will not fit in line 1b, leave all of item 1 blank, check here and provide the Individual Debtor information in item 10 of the Financing Statement Addendum (Form UCC1Ad)

1a. ORGANIZATION'S NAME				
OR				
1b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX	
1c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY

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The UCC1 Financing Statement

Indications Moved to Financing Statement

5. Check only if applicable and check only one box. Collateral is held in a Trust (see UCC § 9-107 and Instructions) being administered by a Decedent's Personal Representative


6a. Check only if applicable and check only one box: 6b. Check only if applicable and check only one box:

Public-Finance Transaction Manufactured-Home Transaction A Debtor is a Transmitting Utility Agricultural Lien Non-UCC Filing

7. ALTERNATIVE DESIGNATION (if applicable): Lessee/Lessor Consignee/Consignor Seller/Buyer Bailee/Bailor Licensor

8. OPTIONAL FILER REFERENCE DATA:

FINANCING STATEMENT (Form UCC1) (Rev. 04/2011)



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The UCC1AD Financing Statement Addendum

UCC FINANCING STATEMENT ADDENDUM

FOLLOW INSTRUCTIONS

8. NAME OF FIRST DEBTOR: Enter the name of the Debtor on the Financing Statement. If the Debtor is an individual, enter the Debtor's name. If the Debtor is a corporation, enter the Debtor's name.

OR

9. DEBTOR'S NAME: If the Debtor is an individual, enter the Debtor's name. If the Debtor is a corporation, enter the Debtor's name. THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

OR

10. ADDITIONAL SECURED PARTY'S NAME: Enter the name of the secured party. If the secured party is an individual, enter the secured party's name. If the secured party is a corporation, enter the secured party's name.

OR

11. ADDITIONAL SECURED PARTY'S NAME: Enter the name of the secured party. If the secured party is an individual, enter the secured party's name. If the secured party is a corporation, enter the secured party's name.


OR

12. ADDITIONAL SPACE FOR FILER REFERENCE DATA:

OR

13. FILER REFERENCE DATA: Enter the filer reference data.

UCC FINANCING STATEMENT ADDENDUM (Form UCC1AD) (Rev. 04/2011)




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The UCC1AD Financing Statement Addendum

UCC FINANCING STATEMENT ADDENDUM
FOLLOW INSTRUCTIONS

9. NAME OF FIRST DEBTOR: Same as line 1a or 1b on Financing Statement; if line 1b was left blank because Individual Debtor name did not fit, check here

9a.	ORGANIZATION'S NAME
OR	9b. INDIVIDUAL'S SURNAME
FIRST PERSONAL NAME	
ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX



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The UCC1AD Financing Statement Addendum

10. DEBTOR'S NAME: Provide (10a or 10b) only one additional Debtor name or Debtor name that did not fit in line 1b or 2b of the Financing Statement (Form UCC1) (use exact, full name; do not omit, modify, or abbreviate any part of the Debtor's name) and enter the mailing address in line 10c


10a.	ORGANIZATION'S NAME
OR	10b. INDIVIDUAL'S SURNAME
INDIVIDUAL'S FIRST PERSONAL NAME	
INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S)	SUFFIX


10c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY
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The UCC1AD Financing Statement Addendum

12. ADDITIONAL SPACE FOR ITEM 4 (Collateral)



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The UCC1AD Financing Statement Addendum



<p>13. <input type="checkbox"/> This FINANCING STATEMENT is to be filed [for record] (or recorded) in the REAL ESTATE RECORDS (if applicable)</p> <p>15. Name and address of a RECORD OWNER of real estate described in item 16 (if Debtor does not have a record interest).</p>	<p>14. This FINANCING STATEMENT: <input type="checkbox"/> covers timber to be cut <input type="checkbox"/> covers as-extracted collateral <input type="checkbox"/> is filed as a fixture filing</p> <p>16. Description of real estate:</p>
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17. MISCELLANEOUS:

UCC FINANCING STATEMENT ADDENDUM (Form UCC1Ad) (Rev. 04/20/11)

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The UCC3 Amendment

The image shows a sample UCC Financing Statement Amendment form. It includes sections for:

- Debtor Information (Name, Address, City/State/Zip)
- Creditor Information (Name, Address, City/State/Zip)
- Collateral Description
- Amendment Details (Date, Description of Amendment)
- Signature and Date lines for both Debtor and Creditor.

Approved Forms

- 1. **TERMINATION:** Effectiveness of the Financing Statement identified above is terminated.
- 2. **CONTINUATION:** Effectiveness of the Financing Statement identified above will be continued for the additional period provided by applicable law.
- 3. **ASSIGNMENT (full or partial):** Give name of assignee in item 7a or 7b and address.
- 4. **AMENDMENT (PARTY INFORMATION):** This Amendment affects Debtor
 Also check one of the following three boxes and provide appropriate information in items 7a, 7b, and 7c.
 - CHANGE name and/or address:** Please refer to the detailed instructions in regards to changing the name/address of a party.

Approved Forms

2. **TERMINATION:** Effectiveness of the Financing Statement identified above is term Statement


3. **ASSIGNMENT** (): Provide name of Assignee in item 7a or 7b, and ac For partial assignment, complete items 7 and 9 and also indicate affected collateral

4. **CONTINUATION:** Effectiveness of the Financing Statement identified above with continued for the additional period provided by applicable law

5. **PARTY INFORMATION CHANGE:**

Check one of these two boxes: **AND** Check one of these

This Change affects Debtor or Secured Party of record CHANGE name item 6a or 6b, a



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The UCC3 Amendment

7. **CHANGED OR ADDED INFORMATION:** Complete for Assignment or Party Information Change - provide only one name (7a or 7b) (use exact, full name, do not omit, modify, or abbreviate any part of the Debtor's name)

7a. ORGANIZATION'S NAME


OR

7b. INDIVIDUAL'S SURNAME

INDIVIDUAL'S FIRST PERSONAL NAME


INDIVIDUAL'S ADDITIONAL NAME(S)/INITIAL(S) SUFFIX


7c. MAILING ADDRESS	CITY	STATE	POSTAL CODE	COUNTRY
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The UCC3AD Amendment Addendum

11. INITIAL FINANCING STATEMENT FILE NUMBER: Same as item 1a on Amendment form	
12. NAME OF PARTY AUTHORIZING THIS AMENDMENT: Same as item 9 on Amendment form	
OR	12a. ORGANIZATION'S NAME
	
	12b. INDIVIDUAL'S SURNAME
	FIRST PERSONAL NAME
	ADDITIONAL NAME(S)/INITIAL(S)
	SUFFIX


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The UCC3AD Amendment Addendum


13. Name of DEBTOR on related financing statement (Name of a current Debtor of record required for indexing purposes only in some filing offices - see Instruction Item 13). Provide only one Debtor name (13a or 13b) (use exact, full name, do not omit, modify, or abbreviate any part of the Debtor's name); see Instructions if name does not fit			
OR	13a. ORGANIZATION'S NAME		
			
	13b. INDIVIDUAL'S SURNAME	FIRST PERSONAL NAME	ADDITIONAL NAME(S)/INITIAL(S)
			SUFFIX


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The UCC3AD Amendment Addendum

<p>15. This FINANCING STATEMENT AMENDMENT:</p> <p><input type="checkbox"/> covers timber to be cut <input type="checkbox"/> covers as-extracted collateral <input type="checkbox"/> filed as a fixture filing</p> <p>16. Name and address of a RECORD OWNER of real estate described (if Debtor does not have a record interest)</p>	<p>17. Description of real estate</p>
<p>18. MISCELLANEOUS:</p>	

UCC FINANCING STATEMENT AMENDMENT ADDENDUM (Form UCC3Ad) (Rev. 04/2011)


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Q & A

Thank you:

Paul Hodnefield
*Associate General Counsel
Corporation Service Company
380 Jackson Street, Suite 700
St. Paul, MN 55101*

*Direct: 800-927-9801, ext. 62375
Cell: 952-649-1555
phodnefi@cscinfo.com*

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