

Topic: Capturing Emails

Question By: Robert Lindsey

Jurisdiction: Virginia

Date: June 10, 2013

Jurisdiction	Question(s)
Alabama	
Alaska	
Arizona	
Arkansas	
California	
Colorado	
Connecticut	
Delaware	
District of Columbia	
Florida	
Georgia	
Hawaii	
Idaho	Idaho does not capture email addresses for the time being. However, we may proceed with that option in the future.
Illinois	
Indiana	Indiana cannot capture email addresses with our current system. With our new solution I would like to capture the email address and use it send the acknowledgement.
Iowa	
Kansas	Kansas has no plans for e-mail addresses. Kansas does send the original UCC to the address in "Box B" after filing. (The send acknowledgment to box) Even if an envelope is provided.
Kentucky	
Louisiana	Louisiana does not capture e-mail addresses at this time.
Maine	Maine will not key or capture the email address provided on the paper form. However, we will send the acknowledgement via email for online filings. We will not do anything else with this data. We will not provide this in bulk data. Just to follow-up, if the paper filing has an email address, it will be provided to bulk image sales.
Maryland	
Massachusetts	
Michigan	

Minnesota	Minnesota is the same as Maine
Mississippi	
Missouri	
Montana	Our new system which will roll out on July 1, 2013 will allow for us to capture email addresses as that will be a form of return method in Montana. Just to clarify, our Fiscal division only captures the information if the customer requests their documents be returned by e-mail. Montana does not report on or share the email addresses with others.
Nebraska	
Nevada	
New Hampshire	NH has no plans for using e-mail addresses.
New Jersey	
New Mexico	
New York	
North Carolina	North Carolina will continue to capture the email address, as we have been for more than a year as a method of acknowledgment return.
North Dakota	North Dakota has no plans for using e-mail addresses at this time.
Ohio	Ohio will not be capturing email addresses.
Oklahoma	
Oregon	I think Oregon is moving in that direction, but we haven't started collecting those email addresses yet. Eventually, we would like to, just as we're capturing voluntary email for our other programs.
Pennsylvania	
Rhode Island	<p>Rhode Island will not be capturing the email address from item B. We also won't be communicating with our paper filers via email. It's our intention to utilize the IACA forms, so we will be posting a "filing tip" or other message to our paper filers not to anticipate email communication - all rejections will be returned via USPS.</p> <p>However, we do want to offer our paper filers the option of receiving their acknowledgement in electronic format. We have this capability and it will reduce processing costs for our office. It's our intent to communicate this option as another "filing tip" and instruct our paper filers that wish to exercise this option to include an email address in C.</p> <p>Our anticipated "filing tips" will appear only on our website. There will be no changes made to the instruction sheets that accompany the IACA forms.</p>
South Carolina	
South Dakota	
Tennessee	
Texas	
Utah	Utah is not sure yet since we are moving to email notifications. However, when and if we do we will make sure the customer knows they are giving us an email for that specific purpose. Not just in the filer box
Vermont	
Virginia	

Washington	Washington State will not be capturing email addresses.
West Virginia	
Wisconsin	
Wyoming	

Additional Comments:

Question from Robert Lindsey (VA): [Oregon], what would you use the email addresses for? Would you include that data in your bulk data sales? If a Service Company employee placed their email address in this optional field would you send acknowledgements to that address?

Answer from Tom Wrosch (OR): It would be used as a contact address for efilers, the way the phone number is for paper. Alternatively, we may use it, if enough people provide it, as a notice address. Oregon is non-uniform (surprise) in that we have to send out reminders of impending lapse of financing statements. Right now, we post upcoming lapses online, but we could also send them out an email. The public record of it (in bulk data sales, for example) is the sticking point. However, there is a bill before the current legislature to allow agencies to collect email addresses and NOT disclose them upon request. If that goes through, it makes it much more attractive to collect the email address for "office use only." We could send acknowledgements to the filer by email (we're doing it for business registry) but the system is set up now to display-and-print-if-desired. As I said, we're thinking about it, and I'm interested in hearing more from service companies and other jurisdictions.

Comment from Wally Boggus: I think you guys might be confusing paper with online filings. For online filings you can absolutely have an item *Email Address to Send Acknowledgment*. However, for **paper** filings - *Item C* is the specific element used by the filer to indicate where to send the acknowledgment - not *Item B*. It is possible that a filer completing *Item C* of the paper form could include an email address in lieu of or in addition to a physical address. Then, assuming you have the capability to return the acknowledgment via email you could send it to the email address indicated in *Item C*.

Question from Dennis Hankins (IL): What did the authors of optional email field on the documents intend for its use to be? It seems that there may have been some intention when the amended forms were crafted...

Answer from Wally Boggus: I'm sure that others will chime in about this, but my perception was that new Item B was only intended to be an alternate to the name and phone number in Item A. In other words, an alternate method of communicating with the filer about a filing issue, in the event the filing office 'might wish' to do so. I don't recall anyone suggesting that new Item B could substitute for an instruction for acknowledgment delivery specified in Item C.

Answer from Mike Smith (GA): the intent for item B was that the email address would be used much like item A – as an option to contact the filer, if need be.

Full Text of Original Email:

During a STS sessions in Boston we discussed the following field on the new forms (UCC 1, 3, 5 & 11): B. E-MAIL CONTACT AT FILER (optional)

Item Instructions

A and B. To assist filing offices that might wish to communicate with filer, filer may provide information in item A and item B. These items are optional.

The intent of this field was to give the filing office another contact method/avenue in contacting the customer. We consider this field to be no different than the phone number that filers have been giving us for years. IACA is not recommending that your jurisdiction capture/data enter email addresses from financing statements that are submitted via paper or online nor should you send the acknowledgements to this email address.

There were numerous concerns regarding this field that were not anticipated. This topic generated a very healthy discussion about the pros and cons of utilizing the optional email addresses for purposes other than what it was intended. When this session concluded, it was my impression, no attending jurisdiction was going to pursue capturing/data entering email addresses from financing statements that are submitted via paper or online.

Please let us know if your jurisdiction is planning to key/capture email addresses.