

Topic: IT Spending Comparison

Question by: Jenny Acker

Jurisdiction: Wisconsin

Date: February 28, 2013

Jurisdiction	Question(s)
	1. Number of full time staff for the Corporations Division in your agency (including UCC, notary, trademarks). 2. Total number of documents/transactions processed by your division annually. 3. Is e-filing/online filing available for All, Most, Few, or None of your filings? 4. Total annual IT spending for your division. Any other details you would be willing to provide would be very helpful, such as how much IT Spending is IT Maintenance costs vs. New IT Products cost, percentage of filings done online, etc.
Manitoba	
Corporations Canada	
Alabama	
Alaska	
Arizona	
Arkansas	
California	
Colorado	See below additional comments
Connecticut	
Delaware	
District of Columbia	1.) 16 (corporations only) 2.) 70k 3.) Half of Filings (36) 4.) About 500k
Florida	
Georgia	
Hawaii	
Idaho	

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Illinois	
Indiana	
Iowa	
Kansas	
Kentucky	
Louisiana	1.) 54 2.) 402,010 3.) Most 4.) There is really no way to split out only the Corporations Division portion of the IT budget. We typically budget \$400,000 annually for application development professional services for the Corporations Division (including UCC, notary, trademarks). Percentage of filings done online: 71 %.
Maine	
Maryland	
Massachusetts	
Michigan	The Michigan UCC office and Corporations are in different state departments. Notary is in a third state agency. The information below is only for UCC Michigan. 1.) 7 2.) 260,554 (2012) 3.) Web filing is available for all except Utility Financing Statements and Federal and State Tax Liens. 4.) UCC IT support is part of a larger departmental budget item.
Minnesota	
Mississippi	
Missouri	

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Montana	1.) UCC-2, Corporations-12. 2.) UCC 2012 – 30,180; Corporation Annual Reports – 107,407; Other Corporation Filings – 148,364. 3.) Few – UCC filings and Corporation Annual Reports only 4.) Approximately \$160,000 all maintenance and system hosting. 92% online annual report adoption rate. Currently working on new system to expand online filing capabilities.
Nebraska	
Nevada	1.) 63, including customer service 2.) Approximately 1.2 million services provided. 3.) All UCC Filings; All annual report filings; Domestic articles for corporations and LLCs; name reservations; State Business License For the services that are available online, usage varies from 64% - 97% based on the services, overall 70%.
New Hampshire	
New Jersey	
New Mexico	
New York	
North Carolina	
North Dakota	
Ohio	
Oklahoma	
Oregon	
Pennsylvania	
Rhode Island	

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South Carolina	
South Dakota	
Tennessee	
Texas	<p>1.) 12 FTE's for UCC</p> <p>2.) For FY12 (Sept 1, 2011 – Aug 31, 2012) we filed just over 408,000 UCC1 and UCC3 filings.</p> <p>3.) Standard UCC Financing Statements, Public-Finance Transactions, Manufactured-Home Transactions and all amendments related to these types of filings can be filed online or via XML.</p> <p>We currently receive around 80% of our UCC filings electronically (35% online and 45% XML). Over 99% of all inquiries are done online (ie. number search, name search, certificate requests, and copy requests). Since we have about 99% of all of our filing images available online, most all searches, certificate and copy requests are all filled without any staff involvement.</p>
Utah	
Vermont	
Virginia	
Washington	<p>1.) Our office does not have UCC or notary but we do have other small programs besides corporate, charities, and trademark filings. Total personnel = 53</p> <p>2.) For 2012, we filed approximately 213,000 documents; we do not track all transactions</p> <p>3.) Formations of corporations and LLCs, as well as annual reports are available to be filed online. This is ~40% of our document filings available online.</p> <p>4.) ~\$500,000</p> <p>Currently, 60% of IT costs is maintenance and 40% is development; In 2012, 63% of all formations were filed online; 52% of profit annual reports and 73% of nonprofit annual reports were filed online.</p>
West Virginia	

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Wisconsin	
Wyoming	

Additional comments:

COLORADO:

Answers for Colorado:

1. Number of full time staff for the Corporations Division in your agency (including UCC, notary, trademarks).

We've got about 39 positions at current. Our Business & Licensing Division covers the four areas noted above (business registrations, UCC, notary, trademarks) and also charitable solicitations, charitable gaming, administrative rules, lobbyist. It also encompasses the primary customer service unit (e.g., call center, email) of the office.

2. Total number of documents/transactions processed by your division annually.

For calendar year 2012:

Business filings:	648,022,	99.71% filed electronically
UCC filings:	104,968,	81.06% filed electronically
Notary filings:	28,596,	74.92% filed electronically

3. Is e-filing/online filing available for All, Most, Few, or None of your filings?

E-filing/Online filing is available for Most of our filings

4. Total annual IT spending for your division.

We create, run and support most tech projects and all infrastructure in-house, bringing in contract programmers for staff augmentation needs. Annual IT spending includes all tech spending for the agency and thus includes all elections-related spending (statewide voter registration and election management system, statewide campaign finance, NVRA management, etc.) Our IT Division appropriation is just over \$6 million/year out of an agency budget of just under \$19 million/year (32%) and IT has 34 FTE out of 133 agency total (26%). Of that \$6 million, about 40% is operating/infrastructure/hardware & software maintenance contracts and 60% is personal services for permanent FTE and consulting/contracts. Recently we've been spending more of our time on new product work rather than maintenance and operation of old products. I'd guess it is about a 70/30 split right now.

- Trevor Timmons, Colorado Secretary of State's Office

Full text of email:

Good morning everyone,

The Wisconsin Department of Financial Institutions is trying to see how our IT spending compares to other agencies, especially as it relates to the quantity of documents processed by the division. If you could respond and provide the following, it would be greatly appreciated.

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Thank you,

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