

Topic: Special Characters

Question By: Tracy Gillespie

Jurisdiction: Nevada

Date: 2012 March 14

Jurisdiction	Question
	How is your jurisdiction handling party names with special characters on filings?
Alabama	
Alaska	
Arizona	
Arkansas	
California	
Colorado	
Connecticut	
Delaware	
District of Columbia	
Florida	
Georgia	
Hawaii	
Idaho	In Idaho we index them as presented, within the confines of a standard English keyboard. If, for example, a name was presented with a "u", with an umlaut over it (two dots), it would simply be entered as the letter "u".
Illinois	
Indiana	
Iowa	
Kansas	
Kentucky	Our office will reject any filings for being undecipherable if we cannot duplicate the characters from a standard English keyboard.
Louisiana	In Louisiana we index them as presented, within the confines of a Standard English keyboard.
Maine	
Maryland	
Massachusetts	Tracy, this is one of my very favorite topics! In MA, we expanded our character set to accommodate to the ASCII character set (Windows-1252) and when the extended ASCII characters are presented in a name on a financing statement, they shall be accepted and indexed exactly as presented (See 950 CMR 140.20(4)). The bigger issue for us was that we also needed to identify how those

	<p>characters can be found in a subsequent search. Rather than confuse the search logic by requiring folks to run a search using the special character that was presented on the financing statement, we continue to limit our search characters to the letters “a” through “z” and the numbers 0 through 9. Then, we specified that certain English letters would also return certain Non-English Latin Characters (See 950 CMR 140.49(2)).</p> <p>First, we were concerned that by rejecting a document that included a special character, we would be telling the filer that the name was unacceptable. Our filing office didn’t want to be involved in the sufficiency of the debtor name at all. Our Regulations are available at: http://www.sec.state.ma.us/cor/corpdf/950_CM_140.pdf.</p>
Michigan	UCC Staff enters party names as submitted, within the confines of a standard English keyboard.
Minnesota	In Minnesota we do not enter special characters when indexing, the only punctuation that is entered is apostrophes, hyphens and parenthesis.
Mississippi	
Missouri	Missouri is similar to Idaho as well. Our system allows us to accept certain symbols, which coincides with the symbols that are on a standard keyboard (i.e., !@#, etc.). Accent marks and umlauts would be entered as the standard letter.
Montana	We covered this topic at one of our IACA conferences. Montana enters the special character if it is available on our keyboard (e.g., \$, #, @, !, etc.) otherwise we notify the submitter we cannot properly index the party name as it currently appears.
Nebraska	Nebraska would reject and explain that we cannot enter a symbol that is not on our standard English keyboard.
Nevada	
New Hampshire	NH is the same as Ohio.
New Jersey	
New Mexico	
New York	
North Carolina	
North Dakota	
Ohio	Ohio is similar to Idaho. Our system allows us to accept certain symbols, which coincides with the symbols that are on a standard keyboard (i.e., !@#, etc.). Accent marks and umlauts would be entered as the standard letter.
Oklahoma	
Oregon	<p>In 2008 and 2009, IACA had general session discussions about this very issue. The resolution passed, I believe, in Denver is the latest organizational stance on the subject. From the discussions here, I think we need to pay particular attention to the publishing requirement in paragraph 3, a point I admit Oregon has been remiss in addressing.</p> <p style="text-align: center;"><u>2009 IACA Resolutions (Denver, Colorado)</u></p> <ol style="list-style-type: none"> 1. The IACA Indexing Standards Workgroup presented best practices procedures for the indexing of individual and organization names for business organization and secured transaction filings at the IACA conferences in 2007 and 2008. IACA has identified concerns regarding the indexing and searching of special characters in individual and organization names on Uniform Commercial Code Article 9 filings. IACA shall present these concerns to the

	<p>PEB and NCCUSL and request that they address these concerns in the statute. IACA also recommends that its members publish filing office practices relative to indexing and searching special characters.</p> <p>2. The IACA Indexing Standards Workgroup hereby recommends that filing offices presented with special characters in a name in a financing statement and have the ability to index the special characters, should do so.</p> <p>3. If the filing office does not have the ability to index a special character presented in a name in a financing statement, the filing office may accept the filing, index the name as necessary in accordance with published filing office practices relative to indexing and searching special characters. http://www.iaca.org/downloads/2009Conference/JointSession/IISW_Resolution_2009.pdf</p>
Pennsylvania	Pennsylvania would reject as undecipherable.
Rhode Island	
South Carolina	
South Dakota	
Tennessee	
Texas	Texas, same as Idaho.
Utah	Utah is the same as Idaho.
Vermont	
Virginia	
Washington	
West Virginia	
Wisconsin	
Wyoming	

Full Text of Original Email:

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Hello from Nevada,

We were wondering what everyone is doing about filings that come in with Special Characters in the Debtor's or Secured Party's names? If you could let me know if you are rejecting them or are you indexing them it would be appreciated. Thank you and hope you have a great day.

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